



VERTEX SECURITIES LIMITED

(A Subsidiary of Transwarranty Finance Limited)
Thottathil Towers, II Floor, Market Road, Ernakulam, Kochi - 682018
Telephone : 0484 - 2384848
Fax : 0484 - 2394209
Website : www.vertexbroking.com
Email : vsnl@vertexbroking.com

26th April, 2024

The Manager,
Corporate Relations Dept.
BSE Ltd.,
P.J. Towers,
Dalal Street, Fort,
Mumbai - 400 001

BSE Scrip Code: 531950

Dear Sir,

Sub: **Compliance Certificate under Regulation 40(10) of the Listing Regulations**

Pursuant to Regulation 40(10) of the Listing Regulations, please find enclosed herewith a Certificate issued by the Practicing Company Secretary for the year ended on March 31, 2024.

Kindly take the same on records.

Thanking you,

Yours faithfully,

For **VERTEX SECURITIES LIMITED,**

Aniket Malekar
Company Secretary & Compliance Officer
Membership No. A62478

Encl: a/a

YOGESH SHARMA & CO.

COMPANY SECRETARIES

Yogesh M. Sharma
M.Com, M. Phil, M.M.M, M.F.M, LLB, FCS.

Office No: 9082951462
Mobile No: 9833124864
Email ID: csymsharma@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI LISTING REGULATIONS 2015 FOR THE
YEAR ENDED 31st MARCH, 2024**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **VERTEX SECURITIES LIMITED** having its registered office 2nd Floor, Thottathil Towers, Market Road, Ernakulam, Kochi - 682018 maintained by **LINK INTIME INDIA PVT. LTD** pertaining to transfer of equity shares of the company for the period from 01st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI Listing Regulations 2015 and based on the information provided by the Company, we hereby certify that:

- A) There were no transfer, transmission, name deletion request received during the period 01st April, 2023 to 31st March, 2024.
- B) Any other information, if applicable - Nil

Place: Mumbai
Date: 25th April, 2024
UDIN: F011305F000241304
Peer Review Certificate no: 1583/2021

FOR YOGESH SHARMA & CO.



Yogesh Sharma

YOGESH M. SHARMA
Proprietor
M. No. FCS 11305
CP No. 12366