

Ref. No.: AUSFB/SEC/2023-24/398

Date: March 27, 2024

To,

National Stock Exchange of India Ltd.

Exchange Plaza, C-1, Block G,

BSE Limited
Phiroze Jeejeebhoy Towers,

Bandra Kurla Complex,

Bandra (East), Mumbai 400051,

Maharashtra.

Dalal Street,

Mumbai 400001,

Maharashtra.

NSE Symbol: AUBANK Scrip Code: 540611

Dear Sir/Madam,

Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

In continuation to our letter dated March 19, 2024 informing about imposition of penalty of Rs. 10,000 on the Bank by Issue department, Mumbai Regional Office of the Reserve Bank of India on account of "Cash-out" in one of the Bank's ATM, we would like to inform that the said penalty of Rs. 10,000 has been waived on the request of the Bank.

This is for your information and records.

Thanking You,

Yours faithfully, For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami Company Secretary and Compliance Officer Membership No.: F9999 investorrelations@aubank.in

Phone: +91 141 4110060/61, Fax: +91 141 4110090

CIN: L36911RJ1996PLC011381