

REF:SEC/SE/2020/

15<sup>th</sup> October 2020

|  |  |
|--|--|
| <p>The General Manager,<br/>Corporate Relation Department<br/><b>BSE Limited</b><br/>1<sup>st</sup> Floor, New Trading Ring,<br/>Phiroze Jeejeebhoy Towers<br/>Dalal Street, Fort,<br/><b>MUMBAI - 400 001</b></p> <p><b>Company Code No. : 530001</b></p> | <p>The General Manager<br/>Listing Department<br/><b>National Stock Exchange of India Limited</b><br/>Exchange Plaza, 5th Floor,<br/>Plot No.C-1, "G" Block,<br/>Bandra Kurla Complex,<br/>Bandra (East),<br/><b>MUMBAI - 400 051</b></p> <p><b>Company Code No. : GUJALKALI</b></p> |
|--|--|

Dear Sir,

**REG: COMPLIANCE OF REGULATION 40 (10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

In compliance with the Regulation 40 (10) of the SEBI (LODR) Regulations, 2015, we submit herewith the Certificate dated 15<sup>th</sup> October 2020 issued by M/s. Devesh Pathak & Associates, Vadodara, Practicing Company Secretaries as per Regulation 40(9) for the half year ended on 30<sup>th</sup> September, 2020. We hope the same will meet with your requirement.

Kindly acknowledge.

Thanking you,

Yours faithfully,  
for GUJARAT ALKALIES AND CHEMICALS LIMITED

(S. S. BHATT)  
COMPANY SECRETARY &  
CGM (LEGAL & CC)

Encl : As above.





*CS Devesh A. Pathak*  
B.Com., LL.B., F.C.S.

PHONE : (0265) 2562158 / 75 MOBILE : 98240 92589  
E-mail : maildeveshpathak@rediffmail.com  
maildpathak@yahoo.co.in

## DEVESH PATHAK & ASSOCIATES

PRACTISING COMPANY SECRETARIES  
REGD. INSOLVENCY PROFESSIONAL  
REGD. TRADE MARKS AGENT

FIRST FLOOR, 51, UDYOGNAGAR SOCIETY,  
NEAR AYURVEDIC COLLEGE, OUTSIDE PANIGATE,  
VADODARA-390 019

### CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

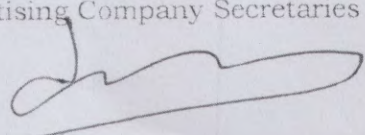
On the basis of electronic information relating to M/s **GUJARAT ALKALIES AND CHEMICALS LIMITED** maintained by **LINK INTIME INDIA PRIVATE LIMITED** pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("the listing Regulations") by M/s **GUJARAT ALKALIES AND CHEMICALS LIMITED**, listed with BSE and NSE based on the information provided by the Company, we hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2020.

- A) No Share Certificate requesting transfer during the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 has been processed in view of restriction on processing transfer in Physical mode pursuant to Clause 40(1) of the Listing Regulations.
- B) Share Certificate(s) in respect of requests received during the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for transmission, sub-division, consolidation, renewal, exchange or endorsement of call/allotment monies have been issued within 30 days from respective date of lodgment of each deed excepting those rejected on technical grounds.

Date: 15<sup>th</sup> October, 2020  
Place: Vadodara

For Devesh Pathak & Associates  
Practising Company Secretaries



  
CS Devesh Pathak  
Sole Proprietor  
Membership No. : FCS 4559  
CP No. : 2306  
UDIN : F004559B000955542