



October, 10 2020

**The Deputy General Manager
Dept of Corporate Services
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai 400001**

Dear Sir

Subject: Submission of Regulation 40(9) of SEBI (LODR) Regulations 2015

We are enclosing hereto the Regulation 40(9) of SEBI (LODR) Regulations 2015 for the quarter ended 30th September, 2020.

This is for your information and records.

Thanking you

Yours Sincerely
For TRANSGENE BIOTEK LIMITED

**DR K KOTESWARA RAO
CHAIRMAN & MANAGING DIRECTOR
DIN NO:02287235**

Encls: as above



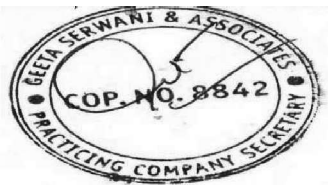
GEETA SERWANI & ASSOCIATES
PRACTICING COMPANY SECRETARY
401, 10-3-171, Sri Ranga Appartments, Opp. Keyes High School,
St. Johns Road, Secunderabad – 500025.
Contact no. 8885536949.
E-MAIL ID – gserwani2@gmail.com

COMPLIANCE CERTIFICATE AS PER CLAUSE 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2020

We have examined all the Registers, files, records and other documents relating to M/s. **TRANSGENE BIOTEK LIMITED** maintained by M/S. **Big Share Services Pvt Ltd** pertaining to transfer of equity shares of the company for the period from **(01 April 2020 to 30th September 2020)** for the purpose of issuing a Certificate as per Clause 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by M/s. **TRANSGENE BIOTEK LIMITED** with BSE and based on the information provided to us, we hereby certify that the Company has not received shares for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies delivered during half year ended on **30th September 2020**.

Place : Hyderabad
Date : 12-10-2020

Geeta Serwani & Associates
(Practicing Company Secretary)



(Geeta Serwani)
Proprietor
FCS: 8991
CP: 8842
UDIN - F008991B000913939