

CIN No.: L65990MH1983PLC031384

October 15, 2020

To,
The Corporate Relationship Manager
Department of Corporate Services
BSE Ltd., P J Towers, Dalal Street,
Mumbai – 400001

Ref: Scrip Code - 508963

Subject: Compliance Certificate as per Regulation 7(3) of SEBI (LODR) Regulations, 2015

Dear Sir,

Please find enclosed herewith the Compliance Certificate with respect to physical and electronic share transfer facility from the Company and Share Transfer Agent for half year ended September 30, 2020 pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the same on record and acknowledge the receipt.

For Sterling Guaranty & Finance Limited

Thanking you, Yours Faithfully

Nandini Chavan

**Company Secretary & Compliance Officer** 

REGD. OFFICE: 91-A, Mittal Court, Nariman Point, Mumbai – 400021

TEL: 91-22-22840019. Email: sterling.guaranty@gmail.com

Website: www.sterlingguaranty.com



CIN No.: L65990MH1983PLC031384

ISIN: INE668Y01016

Scrip Code: 508963

## **Compliance Certificate**

[Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements)

Regulations, 2015]

We, M/s. Sterling Guaranty & Finance Limited (the Company) having its Registered Office at 91/A, Mittal Court, Nariman Point, Mumbai–400021 has appointed M/s. Purva Sharegistry (India) Private Limited as its Share Transfer Agent (STA) having its Registered Office at Unit No 9 Shiv Shakti Industrial Estate, J R Boricha Marg, Near Lodha Excelus, Lower Parel (East), Mumbai – 400011, for effecting transfer of shares etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001112.

Further M/s Purva Sharegistry (India) Private Limited as a STA has maintained all the activities as prescribed under Regulation 7(2) of the SEBI (LODR) Regulations,2015 thereto in relation to both physical and electronic share transfer facility of M/s. Sterling Guaranty & Finance Limited during the period from 1st April, 2020 to 30th September, 2020 on its behalf.

For Sterling Guaranty & Finance Limited

For Purva Sharegistry (India) Pvt Ltd

Nandini Chavan

Compliance Officer and Company Secretary

Date: 15/10/2020

**Authorised Signatory**