

Date: 11<sup>th</sup> April, 2024

To,  
Dept. of Corporate Services  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai – 400 001

**Sub: Regulation 40 (9) of the SEBI (LODR) Regulations, 2015**  
**Ref: Certificate from Practicing Company Secretary**

Dear Sir / Madam,

With reference to above and pursuant to Regulation 40 (9) of SEBI (LODR) Regulations, 2015, please find enclosed herewith the certificate received from M/s. P. C. Shah & Co., Practicing Company Secretaries in respect of transfer or transmission or transposition of securities for the financial year ended 31<sup>st</sup> March, 2024.

We request you to take the same on your records and oblige.

Thanking you,

Yours faithfully,

**FOR SOVEREIGN DIAMONDS LIMITED**

  


**AJAY GEHANI**  
**MANAGING DIRECTOR**

Encl: As above.

**P. C. Shah & Co.**  
Company Secretaries  
(Formerly known as P. P. Shah & Co.)  
**Punit Pradip Shah**  
B. Com, ACS

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS, 2015**

**FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2024**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s. Sovereign Diamonds Limited* having its Registered Office situated at Sovereign House, 11-A, Mahal Industrial Estate, Mahakali Caves Road, Andheri (East), Mumbai – 400 093 maintained by *M/s. Link Intime India Private Limited*, a Registrar & Share Transfer Agent (RTA) having its office at C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai – 400 083 pertaining to transfer of equity shares (shares re-lodged for transfers for the purpose of issue in demat mode only in terms of circular issued by SEBI dated September 7, 2020 bearing reference no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 and circular issued by SEBI dated December 2, 2020 bearing reference no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236) of the Company for the period from *01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024* for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (LODR) Regulations, 2015 into by, *M/s. Sovereign Diamonds Limited* with BSE Limited and based on the information provided by the Company, I hereby certify that the Company has delivered during *financial year ended on 31<sup>st</sup> March, 2024*:

A) ~~Share/Debtenture~~ Certificate(s) relating to the transfer of Shares/~~Debtentures~~ received during the period from *01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) *Any other information, if applicable:*

Note: As per The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fourth Amendment) Regulations, 2018, (hereinafter SEBI (LODR)) read with SEBI Notification No. SEBI/LAD-NRO /GN/ 2018/49 dated 30<sup>th</sup> November, 2018, first proviso has been inserted in Regulation 40 (1) of SEBI (LODR) as per which requests for effecting transfer of securities w.e.f. 1<sup>st</sup> April, 2019 shall not be processed unless the securities are held in the dematerialized form with a depository.



**P. C. Shah & Co.**  
Company Secretaries  
(Formerly known as P. P. Shah & Co.)  
**Punit Pradip Shah**  
B. Com, ACS

Accordingly, during the period from **01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024** no requests for share transfers were processed in physical form.

**For P. C. Shah & Co.,**  
**Practicing Company Secretaries**  
(Formerly known as M/s. P. P. Shah & Co.)  
Unique ICSI ID No.: S2023MH955400

*Punit P. Shah*

**Punit P. Shah**  
**Proprietor**  
ACS No: 20536, COP No: 7506  
UDIN: A020536F000115941  
Peer Review: 666/2020



Date: 12<sup>th</sup> April, 2024  
Place: Mumbai

**Please note that:**

- 1) This Certificate should be given by a **Practicing Company Secretary**.
- 2) The Certificates should be given for **Financial Year ended 31<sup>st</sup> March, 2024**.