

ROCKON ENTERPRISES LIMITED

(Formerly Rockon Fintech Limited)
CIN: L65923MH1976PLC019072

E-109, Crystal Plaza,
New Link Road, Opp. Infinity Mall
Andheri (W), Mumbai - 400053
Tel.: (022) - 61522222, 61522235
Fax: (022) - 61522234
Email: rockonfintech123@gmail.com
Web-site: www.rockonfintech.com

Date: 29.05.2020

To,
The Department of Corporate Services,
BSE Limited
PhirozeJeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

Scrip Code: 531447

Subject: Compliance under Regulation 40(9) of SEBI (Listing Obligations Disclosure Requirements) Regulation 2015.

Dear Sir(s),

We are enclosing herewith Certificate of Regulation 40(9) of SEBI (Listing Obligations Disclosure Requirements) Regulation 2015 for the Half Year ended on 31st March, 2020 issued by Mr. Nitesh Chaudhary, Practicing Company Secretary, Mumbai.

You are requested to acknowledge receipt of the same.

Thanking You,

Yours Faithfully,
For Rockon Enterprises Limited

Sd/-

GirrajKishor Agrawal
Director
DIN: 00290959



**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF-YEAR ENDED 31st MARCH, 2020

(a) We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s ROCKON ENTERPRISES LIMITED CIN-L65923MH1976PLC019072 maintained by M/s Purva Shareregistry (India) Pvt. Ltd. (Registrar & Transfer Agent of the said company)**, Pertaining to transfer of equity shares of the company for the period from **01st October, 2019 to 31st March, 2020** for the purpose of issuing a Compliance Certificate as per **Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**, with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the half year ended **31st March, 2020**:

- A. The Company has not received any request for registration of sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the period beginning from **01st October, 2019 to 31st March, 2020**.
- B. No Share Certificates have been issued in respect of duplicates and split certificates as there is no such request received by the Company.

For Nitesh Chaudhary & Associates

Date: 28th May, 2020
Place: Mumbai

NITESH
CHAUDHARY

Digitally signed by
NITESH CHAUDHARY
Date: 2020.05.28
11:23:44 +0530

CS Nitesh Chaudhary, Proprietor
Practising Company Secretary
Membership No FCS: 10010
COP No: 16275

UDIN - F010010B000290862