

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400001

Scrip Code - 507864

Dear Sir / Ma'am,

Subject: Certificate Under Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015

We enclose herewith the Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the financial year ended 31st March 2024 as issued by M/s. Parikh Parekh & Associates Practicing Company Secretaries.

Kindly take the above information on your records.

Thanking you,

Yours faithfully

For **PIONEER INVESTCORP LIMITED**

Riddhi Dilip Sidhpura

Company Secretary & Compliance Officer

Date: 16th April 2024

Place: Mumbai



PARIKH PAREKH & ASSOCIATES
COMPANY SECRETARIES

Office: 111, 11th floor, Sai-Dwar CHS Ltd., SAB TV Lane, Opp. Laxmi Industrial Estate, Off Link Road, Above Shabari Restaurant, Andheri (West), Mumbai-400 053.

Tel.: 26301232 / 26301233 Email: cs@parikhassociates.com Website: www.parikhassociates.com Firm Unique Code: P1987MH010000

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2024.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to Pioneer Investcorp Limited ('the Company') maintained and provided electronically by Satellite Corporate Services Pvt. Ltd., Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. The Company has not received any requests relating to transfers of securities during the financial year ended 31st March, 2024.

1. b. The Company has delivered during year ended on 31st March, 2024, share certificates/ letters of confirmation as per SEBI's operational guidelines, for deletion of name and transmission of securities received during the financial year ended 31st March, 2024, within prescribed time from the respective date of lodgement. As informed to us there were no requests relating to execution of transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: -

For Parikh Parekh & Associates
Company Secretaries

Place: Mumbai
Date: April 16, 2024

Signature:

Mohammad Pillikandlu
FCS No: 10619 CP No: 14603
UDIN: **F010619F000133907**
PR No.: 723/2020