

# AASWA TRADING AND EXPORTS LIMITED

REGD. OFFICE : 32, MILANPARK SOCIETY, NR. JAWAHAR CHOWK, MANINAGAR, AHMEDABAD – 380 008.

CIN : L51100GJ1984PLC024704

E-MAIL : aaswaexports@yahoo.co.in TELEPHONE : 079-25462907 WEBSITE : www.aaswatrading.in

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15<sup>th</sup> October, 2020

To,  
**BSE Limited**  
Corporate Relationship Department  
25<sup>th</sup> Floor, P J Towers,  
Dalal Street, Mumbai-400001  
SCRIP CODE: 512038

Dear Sirs,

**Sub: Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure requirements) Regulation, 2015 for the half year ended on 30<sup>th</sup> September, 2020.**

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With reference to the captioned subject please find enclosed herewith the Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended on 30<sup>th</sup> September, 2020 duly certified by Practicing Company Secretary.

We request you to take this certificate on record.

Yours Faithfully

**For Aaswa Trading and Exports Limited**

  
**Urvi Shah**  
Company Secretary



Encl : As stated

**CS ANISH SHAH**

**☑ B.COM, LLB, FCS**



D/413, Shiromani Complex,  
Opp. Oceanic Park, Nehru Nagar  
Satellite Road, Ahmedabad- 380015



**anishshahcs@gmail.com**



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**MOBILE: +91-997-890-9231**

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30<sup>TH</sup> SEPTEMBER, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and Other documents of **M/S. AASWA TRADING AND EXPORTS LIMITED** maintained by **M/S. LINK INTIME (INDIA) PRIVATE LIMITED** pertaining to transfer of Equity Shares of the Company for the period from **01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per **Regulation 40(9)** of Securities Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulation, 2015 and Listing Agreement entered into by **M/S. AASWA TRADING AND EXPORTS LIMITED** with Bombay Stock Exchange Limited and based on the information provided by the Company, hereby certify that during the half year ended on **30<sup>th</sup> September, 2020**:

**(1)** The Company has not received any transfer request relating to the Shares during the period from **01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020**. Therefore, there was no need to issue Share Certificates within thirty days from the date of lodgment for Transfer, Sub-division, Consolidation, Renewal, Exchange or Endorsement of Calls or Allotment Monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

**(2)** Any Other Information, if applicable- **NOT APPLICABLE**.

**DATE: 14/10/2020**  
**PLACE: AHMEDABAD**

**PRACTICING COMPANY SECRETARIES,**  
**FOR, A. SHAH & ASSOCIATES,**

**MR. ANISH SHAH**  
**PROPRIETOR**  
**(C. P. NO: 6560)**  
**(FCS: 4713)**  
**(UDIN:F004713B000934917)**