

BIRLA CAPITAL AND FINANCIAL SERVICES LIMITED

23, Birla Mansion No. 2, 1st Floor, D. D. Sathe Marg,
Prarthana Samaj, Mumbai - 4. Tel. : 2386 7498 / 2387 6489
Fax : 2385 8269 • E-mail : birlainternational@mtnl.net.in
CIN : L51900MH1985PLC036156

Dated: 21.10.2020

To,
Corporate Relationship Department,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001

Scrip Code: BSE 512332

Subject: Certificate as per Regulation 40(9) of SEBI (Listing and Disclosures Requirements) Regulations, 2015

Dear Sir/Madam,

We are enclosing under Regulation 40(9) under SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the half year ended on 30th September, 2020 issued by Preeti Jain & Associates, Practicing Company Secretary.

Thanking you,

Yours faithfully,

For Birla Capital and Financial Services Limited



Ramesh Samria
Director

Encl: As Above

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS,
2015
FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **BIRLA CAPITAL AND FINANCIAL SERVICES LTD. CIN- L51900MH1985PLC036156 maintained by BIGSHARE PRIVATE LIMITED** pertaining to transfer of Equity Shares of the Company for the period from **01/04/2020 to 30/09/2020** for the purpose of issuing a Certificate as per Clause 47(c) of the old Listing Agreement & Regulation 40(9) of The SEBI (LODR) Regulations, 2015 and the new Listing Agreement entered into by **BIRLA CAPITAL AND FINANCIAL SERVICES LTD.** with BSE Limited & The Calcutta Stock Exchange Ltd. and based on the information provided by the Company, we hereby certify that the Company has delivered during half year ended on **SEPTEMBER 30, 2020.**

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **01/04/2020 to 30/09/2020** as entered in the Memorandum of Transfers have been issued within **fifteen / thirty** days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) Any Other information, if Applicable: - **NOT APPLICABLE.**

FOR PREETI JAIN & ASSOCIATES
COMPANY SECRETARIES




PREETI JAIN
M. NO : 28265
COP : 10118

PLACE: JAIPUR
DATED: 21ST OCTOBER, 2020
UDIN: A028265B001019310