

GOLKONDA ALUMINIUM EXTRUSIONS LIMITED
(Formerly known as Alumeco India Extrusion Limited)

CIN: L74999DL1988PLC330668

Regd. Office: A-2/78-B, Keshav Puram, New Delhi - 110 035, India

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24th June, 2020

To,
The Manager,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400001

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report for the year ended 31st March, 2020

In compliance with Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015; read along with SEBI Circular CIR/CFD/CMD1/27/2079 dated, February 08, 2019, we are submitting herewith Annual Secretarial Compliance Report dated 24th June, 2020 issued by Practicing Company Secretary for the financial year 2019-2020.

You are requested to take the above on your records and do the needful.

Thanking you,

Yours faithfully,

For Golkonda Aluminium Extrusions Limited

Anand Bharti

Anand Bharti
Whole Time Director
DIN: 02469989



Encl: As Above

Secretarial Compliance Report of
Golkonda Aluminium Extrusions Limited
for the year ended 31st March, 2020

We, Vikas Verma & Associates, Whole Time Practice Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by **Golkonda Aluminium Extrusions Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2020 in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not attracted during under review)**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not attracted during under review)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not attracted during under review)**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not attracted during under review)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not attracted during under review)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993

and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:



(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

| Sr.No | Compliance Requirement (Regulations/ circulars / guidelines including specific clause) | Deviations | Observations/ Remarks of the Practicing Company Secretary |
|-------|--|------------|---|
| 1 | Nil | Nil | Nil |

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

| Sr. No. | Action taken by | Details of violation | Details of action taken, if any | Observations/ remarks of the Practicing Company Secretary, if any. |
|---------|-----------------|--|----------------------------------|--|
| 1 | BSE Limited | Non-Compliance with the provisions of Regulation 19(2) of SEBI(LODR)Regulation, 2015 i.e. Composition of Nomination & Remuneration Committee | BSE Imposed fine of Rs. 2,17,120 | Company has paid the said fine, under protest, imposed by BSE. on October 9, 2019. Further, the representation letter dated October 31, 2019 is filed before BSE, explaining the facts of the case and requesting for refund of fine paid. The matter is pending before BSE. |

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: **(Not Applicable)**

Vikas Verma and Associates


 Vikas Kumar Verma
 Managing Person



Place: New Delhi
 Date: 24/06/2020

UDIN: F009192B000372192

FCS : 9192
 COP : 10786