



Kriti Daga

Practicing Company Secretaries

4, Ho Chi Minh Sarani, KBR Complex, Flat No. 3C, Kolkata – 700 071

Mobile : +91 98361 62295, Email : kritisdaga@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30th September 2020

TO WHOMSOEVER IT MAY CONCERN

I have examined all share transfer Forms/deeds, Memorandum of Transfers, Registers, Files and other documents relating to **NCL RESEARCH & FINANCIAL SERVICES LIMITED** having its registered office as 79, Bhagyodaya Building, 3rd Floor, Nagindas Master Road, Fort, Mumbai-400023, Maharashtra maintained by **ABS CONSULTANTS PRIVATE LIMITED** the Registrar & share Transfer Agent of the Company pertinent to transfer of equity shares of the Company for the period from **01st April 2020 to 30th September 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) regulations, 2015 entered into by **NCL RESEARCH & FINANCIAL SERVICES LIMITED** and based on information provided by the company, we hereby certify that the company has delivered during the half year ended on 30th September 2020:

- a) Share Certificate(s) relating to the Share Transfer Form(s) received during the period from **01st April 2020 to 30th September 2020** as entered in the Memorandum of transfers have been issued within fifteen days from the respective date of lodgement of each forms/deeds excepting those rejected on technical grounds:
- b) Has not received any request for sub-division, Consolidation, renewal, exchange or endorsement of calls/allotments monies: and
- c) No request for exchange of Duplicate, Transmission and Split Share Certificate have been received during the half year ended on 30th September 2020.

For **Kriti Daga**
Company Secretary

Kriti Daga
Proprietor
ACS No. A26425 C.P. No. 14023

KRITI DAGA
COMPANY SECRETARY IN WHOLE-TIME PRACTICE
Membership No. -26425
C.P. No. -14023
KBR Complex, 4, Ho Chi Minh Sarani
Next to US Consulate
Flat No.3C, Kolkata-700 071
Ph: 9836162295

Place: Kolkata
Date: November 02, 2020

UDIN number A026425B001140373