



## LLOYDS METALS AND ENERGY LIMITED

Regd. Office and Works : Plot No. A1 & A2, MIDC Industrial Area, Ghugus 442 505, District Chandrapur (MS), Tel : 07172-285398, 07172-285103  
Corporate Office : A2, 2nd Floor Madhu Estate, Pandurang Budhkar Marg, Lower Parel, Mumbai-400013, Tel : +91-22-62918111

www.lloyds.in | CIN: L40300MH1977PLC019594 | investor@lloyds.in

**Date: 18<sup>th</sup> April, 2024**

**To,**

**BSE Limited**

Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai – 400001  
**BSE Scrip Code: 512455**

**National Stock Exchange of India Limited**

Exchange Plaza, Bandra Kurla Complex,  
Bandra (East), Mumbai - 400 051  
**NSE Symbol: LLOYDSME**

**Sub: Compliance Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

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Dear Sir/Madam,

In Compliance with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby enclose a copy of the Compliance Certificate issued by a Company Secretary in Practice for the financial year 01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024.

Kindly take the same on your records and inform the Stakeholders accordingly.

Thanking you,

Yours faithfully,

**For Lloyds Metals and Energy Limited**

**Trushali Shah**

**Company Secretary**

Encl: a/a



# MITESH J. SHAH & ASSOCIATES

COMPANY SECRETARIES

To,



Lloyds Metals and Energy Limited  
Plot No A 1-2, MIDC Area Ghugus,  
Chandrapur, Maharashtra 442505.

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2024**

I have examined Share inward registers, files and all other relevant documents of **M/s. Lloyds Metals and Energy Limited (CIN: L40300MH1977PLC019594)**, having registered office at Plot No A 1-2, MIDC Area Ghugus, Chandrapur, Maharashtra, India 442505 maintained by M/s Bigshare Services Private Limited pertaining to transfer and transmission of equity shares of the Company for the period starting from April 01, 2023 to March 31, 2024 for the purpose of issuing a Certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by the Company with BSE Limited and National Stock Exchange of India Limited and based on the information and explanation provided by the Company, I hereby certify that during the financial year ended on March 31, 2024:

- 1) The Company has issued all share certificates in respect of request received for duplicate during the period 01st April, 2023 to 31st March, 2024, within thirty days from respective date of lodgement of each request excepting those rejected on technical grounds.
- 2) However, the company has not received any request for transfer/sub-division/consolidation /split/renewal/exchange or endorsement of calls/allotment monies and was not required to issue any share certificates during the period starting from April 01, 2023 to March 31, 2024.

**For Mitesh J. Shah & Associates  
(Company Secretaries)**

**Mitesh J. Shah**

**Proprietor**

**FCS No.: 10070**

**C.P. No. 12891**

**UDIN No.: F010070F000169999**

**Peer Review Certificate No.: 1730/2022**

**Date: 18.04.2024**

**Place: Mumbai**