

ASIT C. MEHTA FINANCIAL SERVICES LTD.

Registered Office: 'Nucleus House', Saki Vihar Road, Andheri (East), Mumbai 400072. • Tel.: 022 - 28570781 / 28583333
Email id: investorgrievance@acmfsl.co.in / accounts@nucleuservices.com
Website: www.acmfsl.com • CIN: L65900MH1984PLC091326

April 12, 2021

To,
BSE Limited,
Listing Department,
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai - 400001.

Scrp Code - 530723

Dear Sir,

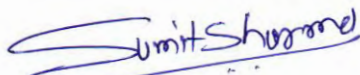
Sub: Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We are forwarding herewith the Compliance Certificate as prescribed under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, obtained from M/s. V.V. Chakradeo & Co, Company Secretaries, for the period from 1st October, 2020 to 31st March, 2021.

Please take the above on your record and acknowledge the receipt.

Thanking you,

Yours faithfully,
On Behalf of Board of Director
For Asit C. Mehta Financial Services Ltd



Sumit R. Sharma
Company Secretary & Compliance Officer



Encl: a/a.

**V. V. CHAKRADEO & CO.
COMPANY SECRETARIES**

B-301, MATOSHREE RESIDENCY CO-OP. HSG. SOCY., 65, PRARTHANA SAMAJ ROAD,
VILE PARLE (EAST), MUMBAI 400057
TEL: 26116821 MOB : 9820048732
Email vvchakra@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED ON 31ST MARCH, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to ASIT C. MEHTA FINANCIAL SERVICES LIMITED, maintained by Link Intime India Private Limited – RTA, pertaining to transfer of Equity Shares of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the RTA / Company, I hereby certify that for the half year ended on 31st March, 2021:

- A) There were no requests received for physical transfer of Share Certificates during the period from 1st October, 2020 to 31st March, 2021.
- B) There were no requests for issue of duplicate Share Certificate or transmission of Shares or name deletion requests received from 1st October, 2020 to 31st March, 2021.

Place: Mumbai

Date: 11th April 2021

DDIN: F003382C000054191

For V. V. Chakradeo & Co.

purpose of issuing certificate as per regulation 40(9) of the SEBI

Disclosure Requirements Regulations, 2015 and based on the information provided by the

RTA / Company, I hereby certify that for the half year ended on 31st March, 2021:

A) There were no requests

V. V. Chakradeo

FCS No 1705



Date: 11th April 2021

DDIN: F003382C000054191

Place: Mumbai

For V. V. Chakradeo & Co.