



LOHIA SECURITIES LTD.

- MEMBER : NSE, BSE, MCX-SX, USE, CSE
- DEPOSITORY PARTICIPANT : NSDL, CDSL



Regd. Office : 4, Biplabi Trailokya Maharaj Sarani, (Brabourne Road), 5th Floor, Kolkata - 700 001
Phone : +91 4002 6600 / 6700, Fax : +91-4002 6800 Website : www.lohiasecurities.com
Email : info@lohiasecurities.com Complaint : grievance@lohiasecurities.com

CIN No. L67120WB1995PLC067195

April 12, 2021

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata- 700 001

Dear Sir,

Sub: Submission of Compliance Certificate under Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref: ISIN: INE803B01017

In compliance with the requirements under the Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed a Compliance Certificate issued by the Compliance Officer of the Company and Registrar to Issue and Share Transfer Agent- Ms. Niche Technologies Pvt. Ltd for the half year ended 31st March, 2021.

This Certificate will be placed before the Board at ensuing board meeting.

Thanking you,

Yours faithfully,
For Lohia Securities Limited

Narendra Kumar Rai
Company Secretary



Encl: As stated above

Institutional Dealing Office :

1602-B, Lady Ratan Tower, 72, Dainik Shivner Marg, Gandhinagar, Worli, Mumbai - 400 018
Phone : +91 22 2261 0552/0562, 2492 4449/4462, 2490 1308, Fax : +91 22 2490 1310



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Compliance Certificate under Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

This is to certify that all activities in relation to both physical and electronic share transfer facility of M/s. Lohia Securities Limited (CIN: L67120WB1995PLC067195) for the half-year ended March 31, 2021 have been maintained by the Registrar to Issue & Share Transfer Agent registered with the Securities and Exchange Board of India namely M/s. Niche Technologies Pvt. Ltd. (SEBI Registration No. INR000003290), at 3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata – 700 017.

For Lohia Securities Limited

Narendra Kumar Rai
Company Secretary / Compliance Officer

Date: 12-04-2021
Place: Kolkata

For Niche Technologies Pvt. Ltd.

(Ashok Sen)
Authorised Signatory

Date: 12-04-2021
Place: Kolkata

— Institutional Dealing Office : —

1602-B, Lady Ratan Tower, 72, Dainik Shivner Marg, Gandhinagar, Worli, Mumbai - 400 018
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