



भारत हेवी इलेक्ट्रिकल्स लिमिटेड  
Bharat Heavy Electricals Limited

No. AA/SCY/SE/21

Date: 15<sup>th</sup> April, 2021

**BSE Limited**

P.J. Towers, Dalal Street Fort,  
MUMBAI – 400001

**National Stock Exchange of India Limited**

Exchange Plaza, C-1, Block G,  
Bandra-Kurla Complex, Bandra (East)  
MUMBAI – 400051

Dear Sirs,

**Sub: Certificate under Regulation 40 of the Listing Regulations**

Pursuant to Regulation 40 of the SEBI (Listing Obligations & Disclosure Requirements), Regulations, 2015, we are sending herewith Compliance Certificate dated 15.04.2021 in respect of BHEL, issued by M/s Iqbal Kaur & Associates, Practicing Company Secretaries, for the half year ended 31<sup>st</sup> March, 2021.

This is for your information and record.

Thanking you,

Yours faithfully,

(Rajeev Kalra)  
Company Secretary  
shareholderquery@bhel.in

Encl: As above



**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

We have examined all the registers, files and other documents relating to Bharat Heavy Electricals Limited ("the Company") maintained by M/s KFin Technologies Private Limited, its Registrar & Transfer Agent ("RTA") upto 31<sup>st</sup> December, 2020 and M/s Alankit Assignments Limited, RTA w.e.f. 1<sup>st</sup> January, 2021, pertaining to transfer of equity shares of the Company for the 2<sup>nd</sup> half of the year 2020-21 i.e. from 01.10.2020 to 31.03.2021, for the purpose of issuing a certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ RTAs, we hereby certify that during the half year ended on 31<sup>st</sup> March 2021, the Company:

- (A) In line with SEBI notification dated 08.06.2018 read with SEBI press release dated 03.12.2018 has stopped all transfer of shares in physical form.
- (B) Has not received any request/application relating to sub-division, split, consolidation, renewal, exchange, endorsement of calls/ allotment monies or exchange of duplicate certificates.

For **IQBAL KAUR & ASSOCIATES**  
Company Secretaries

Place: New Delhi  
Date: 15/04/2021

**IQBAL  
KAUR**

Digitally signed by IQBAL KAUR  
DN: c=IN, st=Delhi,  
2.5.4.20=9139c1d96c8305ca30b5c211d1d0777196f72  
2265019655991382263643d, postalCode=110027,  
street=12/40, FIRST FLOOR, SUBHASH NAGAR, NEW  
DELHI-110027,  
serialNumber=7b773eb258466b0b0c04141116b1a1af  
03911cc6a515f71cb85c8e60590807, o=Personal,  
ou=IQBAL KAUR,  
pseudoym=876dd4e6831fc995808850948d05236  
Date: 2021.04.15 12:16:55 +05'30'

Digitally Signed By  
**IQBAL KAUR**  
Fellow Membership No: 10617  
Certificate of Practice No: 13678  
Peer Review Certificate No: 1170/2021  
UDIN: F010617C000095152