

NIKKI GLOBAL FINANCE LTD.

Registered Office: I-9, LGF, Lajpat Nagar - I New Delhi- 110024 CIN: L65999DL1986PLC024493

Telefax: +91-11-64000323 | Web: www.nikkiglobal.com | E-mail: info@nikkiglobal.com

Date: - 26-10-2020

To,
The Deputy General Manager
Department of Corporate Services,
Bombay Stock Exchange,
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai – 400001

Dear Sir/Madam,

Sub.:- Submission of Certificate pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for half of the financial year ended on 30.09.2020

Ref.:- Scrip Code - 531272

We are enclosing herewith the Certificate issued by the Practicing Company Secretary pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half of financial year ended on 30th September, 2020.

Kindly take the same on record.

Thanking You,

Your's Faithfully,

For Nikki Global Finance Limited



(Disha Bajpai)
Company Secretary
M. No. A37897

Encl: As above

NEHA JAIN
COMPANY SECRETARY

KAN Chambers
Office No. 811, 8th Floor,
14/113, Civil Lines,
Kanpur-208001
Ph: 0512-2332397
Email: nehajain115@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE
HALF YEAR ENDED SEPTEMBER 30, 2020.**

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019.

Accordingly, on the basis of examination of all the relevant documents and to the best of my information and explanation given to me relating shares of **Nikki Global Finance Limited** ("the Company"), having its registered office at I-9, LGF, Lajpat Nagar I, New Delhi – 110024 and paid up share capital of INR 3,41,97,000/- (Rupees Three Crores Forty One Lakhs Ninety Seven Thousand only) divided into 34,19,700 (Thirty Four Lakhs Nineteen Thousand Seven Hundred only) equity shares of INR 10/- (Rupees Ten only) each maintained and provided electronically by Skyline Financial Services Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to transfer of equity shares of the company for the period from April 01, 2020 to Sep 30, 2020 for the purpose of issuing a Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that:

- There was no request for transmission, transposition of securities, sub-division (split), exchange or endorsement of calls/allotment monies received during the said period
- No request for Remat was received by the Company.
- The Company has not issued any debentures, hence the reporting of the same is not applicable.

UDIN: F008123B001054663

Date: Oct 24, 2020
Place: Kanpur

Thanking You,
NEHA JAIN
COMPANY SECRETARY
Neja
C.P. No. 10628

(NEHA JAIN)
Practicing Company Secretary
FCS No. 8123
C.P. No. 10628