

PALASH SECURITIES LIMITED

CIN – L74120UP2015PLC069675

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail – palashsecurities@birlasugar.org; Website-www.birla-sugar.com

12th April, 2021

The Secretary
National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra- Kurla Complex, Bandra (E)
Mumbai 400 051

Symbol : PALASHSECU

The Dy. General Manager
Corporate Relationship Department
BSE Ltd.
1st Floor, New Trading Ring,
Rotunda Building
P.J. Towers, Dalal Street, Fort
Mumbai-400 001
Stock Code : 540648

Sub : Compliance Certificate for the half year ended 31st March, 2021

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated 12th April, 2021 received from M/s. S. M. Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal etc. for the half year ended 31st March, 2021.

Thanking you

Yours faithfully,
For Palash Securities Limited


Mayuri Raja
Company Secretary
ACS-26022



Encl.: as above

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S. M.GUPTA & CO.
COMPANY SECRETARIES
P-15 BENTINCK STREET,CALCUTTA-700 001

Ref. No.....

Dated.....

CERTIFICATE
FOR THE HALF YEAR ENDED 31.03.2021

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. PALASH SECURITIES LIMITED (CIN:L74120UP2015PLC069675)** maintained by them and their Share Transfer Agents M/s.Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from **01.10.2020 to 31.03.2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations,2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of remote audit, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) received during the period from **01.10.2020 to 31.03.2021** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for Transmission case(s), sub-division, consolidation, renewal, exchange, duplicate, name deletion or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

The Certificate is being issued under the precautionary measures of work from home due to COVID-19 with limited resources available to us.

Place : Kolkata
Date : 12.04.2021



Signature :

Name : S.M.GUPTA
Membership No : FCS-896
C. P. Number : 2053
UDIN: **F000896C000058470**