

IDFCFIRSTBANK/SD/194/2020-21

October 22, 2020

**The Manager-Listing Department  
National Stock Exchange of India Limited**Exchange Plaza,  
Bandra Kurla Complex, Bandra (East)  
Mumbai – 400 051.**Tel No.:** 022 – 2659 8237/ 38**NSE - Symbol –** IDFCFIRSTB**The Manager-Listing Department  
BSE Limited**Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai – 400 001.**Tel No.:** 022 – 2272 2039/ 37/3121**BSE- Scrip Code:** 539437

***Sub.: Compliance Certificate in terms of Regulation 40(9) and Regulation 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015***

Dear Sir/Madam,

Please find enclosed Compliance Certificate dated October 22, 2020 for the half year ended September 30, 2020, issued by M/s. Bhandari & Associates, Company Secretaries, in terms of Regulation 40(9) and Regulation 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please take the above on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For **IDFC FIRST Bank Limited****Satish Gaikwad***Head - Legal & Company Secretary**Encl.: as above*

# **BHANDARI & ASSOCIATES**

## Company Secretaries

901, Kamla Executive Park, Off. Andheri Kurla Road,  
J. B. Nagar, Andheri East. Mumbai- 400 059  
Tel: +91 22 4221 5300 Fax: +91 22 4221 5303  
Email: mumbai@anilashok.com

**October 22, 2020**

### **The Company Secretary**

IDFC FIRST Bank Limited  
(Formerly known as IDFC Bank Limited)  
Naman Chambers, C-32, G Block,  
Bandra-Kurla Complex, Bandra (East),  
Mumbai- 400 051

**Sub: Compliance Certificate under the Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [“Listing Regulations”].**

We have examined the relevant books and records in respect of ‘**IDFC FIRST BANK LIMITED**’ (formerly known as IDFC Bank limited) (‘the Bank’) maintained by its Registrar & Share Transfer Agent (RTA’s) i.e. i.) ‘KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited and ii.) ‘NSDL Database Management Limited’ produced before us, in respect of application for registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies for equity shares and bonds, for the purpose of issuing the certificate under sub-regulation 9 of Regulation 40 of Listing Regulations with the National Stock Exchange of India Limited & BSE Limited and based on our examination as well as information and explanation furnished to us, which to the best of our knowledge and belief was necessary for the purpose of the certification, we hereby certify that RTA’s of the Bank, for the period from April 1, 2020 to September 30, 2020, has not received any applications for the registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies pursuant to the aforesaid Listing Regulations.

For **Bhandari & Associates**  
**Company Secretaries**



Manisha Maheshwari  
**Partner**  
ACS: 30224  
C P No. 11031  
ICSI UDIN -A030224B001028562