



Date: March 29, 2024

To,  
The Listing Compliance Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400001

**Reference :** **BSE Scrip Code: 530499**

Dear Madam, Sir,

**Subject :** **Disclosure under Regulation 30 read with Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015**

This is to inform you that the Securities and Exchange Board of India ("SEBI") has issued an administrative warning to A. K. Capital Services Limited ("the Company") vide their letter dated March 26, 2024 which was received on the same day at 6:00 PM through e-mail.

The administrative warning has been issued in connection with the inspection of books and records for the merchant banking activities of the Company.

There is no impact on the financial, operation or other activities of the Company pursuant to the above-mentioned administrative warning letter.

The relevant details pertaining to the said order are provided in **Annexure I**.

This is for your information and records.

Thanking you.

Yours faithfully,

**For A. K. Capital Services Limited**

**Tejas Dawda**  
**Company Secretary & Compliance Officer**  
**Membership No.: A27660**

Place: Mumbai

Encl.: As above



**Annexure I**

**A. K. Capital Services Limited**

**Name of authority: Securities and Exchange Board of India**

**Date of the letter: March 26, 2024**

Sr. No.	Details of violation(s)/contravention(s) committed/ alleged to be committed	Nature of action(s) taken, initiated or order passed	Impact on financial, operation or other activities of the Company, quantifiable in monetary terms to the extent possible	
			Penalty (INR)	Total (INR)
1.	Observation related to due-diligence process.	The authority has advised the Company to be careful in future and avoid recurrence.	-	-
<b>Total Monetary Impact</b>			-	-