

14th October, 2020

To,
The Company Secretary,
The Bombay Stock Exchange Ltd.,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai-400 001

Dear Sir,

Sub.: Certificate under Regulation 40 (9) of SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 for the half year ended on 30/09/2020.

Company Code: 530585.

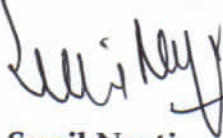
With reference to the above, we are enclosing herewith a Certificate from Practicing Company Secretary for the half year ended on 30th September, 2020 pursuant to the provisions of Regulation 40 (9) of SEBI Listing Obligation and Disclosure Requirements) Regulation, 2015 as amended time to time.

This is for your information and record.

Thanking you.

Yours Faithfully,

For **SWASTIKA INVESTMART LTD**



Sunil Nyati
Managing Director *
DIN:00015963





L N JOSHI & CO.

PRACTISING COMPANY SECRETARY

122, Krishna Business Centre, 11, P.U. 4, Next to Medanta Hospital,
Rasoma Square, Indore - 452010 (M.P.) | Ph.: (0731) 4266708, 4959087

L N Joshi

M. Com., LL.B. (Hons.), FCS
Insolvency Professional

Cell

+91 94250 60308

E-mail

lnjoshics@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS
2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020**

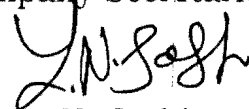
I have examined all Memorandum of Transfers, Registers and other documents relating to **SWASTIKA INVESTMART LIMITED** having registered office at Flat No.18, 2nd Floor, North Wing, Madhaveswar Co-op-Hsg Society Ltd., Madhav Nagar, 11/12, S.V. Road, Andheri W, Mumbai, Maharashtra-4000058 maintained by Share transfer Agent, **ANKIT CONSULTANCY PRIVATE LIMITED**, having office at 60, Electronic Complex, Pardeshi Pura Indore (M.P.) relating to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on such examination as well as information and explanation furnished to me by its Registrar and Share Transfer Agent, which to the best of my knowledge and belief were necessary for the purposes of the certification, I hereby certify that there was no request received relating to the transfer/ transmission/ consolidation/ subdivision/split/renewal/exchange or endorsement of calls/allotment monies during the period ended on 30th September, 2020, hence the company was not required to issue any certificate to that effect.

Any other information, if applicable: Not Applicable.

Date: 8th October, 2020

Place: Indore

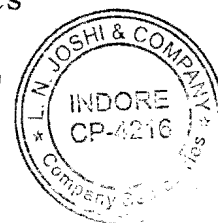
L.N.JOSHI & CO.
Company Secretaries



L.N. Joshi

Proprietor

CP No. 4216



UDIN: F005201B000881714