

Date: 29-10-2020

NICCO UCO ALLIANCE CREDIT LIMITED

NICCO HOUSE, 2, Hare Street, Kolkata - 700 001 Phone: 6628-5260, 6628-5261, 6628-5263

Fax: (033) 66285262, 22309443

E-mail: mdnuacl@gmail.com, nufslcal@gmail.com

CIN: L65910WB1984PLC037614

The Dy. General Manager - CRD The Stock Exchange, Mumbai Phiroze Jeejeebhoy Towers Dalal Street, Mumbai - 400 001

Dear Sir,

Sub: Certificate under Regulation 40(9) of the SEBI (Listing Obligation & Disclosure Requirements) Regulation, 2015 for Half yearly ended on 30th September, 2020.

Unit: Nicco Uco Allianc Credit Ltd.

We enclose herewith the Certificate by a practicing Company Secretary, required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 of Nicco Uco Alliance Credit Limited for the half yearly ended 30^{th} September 2020.

Thanking you,

Yours faithfully,

For NICCO UCO ALLIANCE CREDIT LTD.

Authorised Signetory



Office: 68 (New 1/72) Jessore Road, Diamond Arcade, Shyamnagar, Suit No 301B, 3rd Floor, Kolkata-70005 Ph.: +91 33 46024884, E-mail: csrasnagoyal@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) **REGULATIONS, 2015**

FOR THE HALF YEARLY ENDED ON 30TH SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to NICCO UCO ALLIANCE CREDIT LIMITED maintained by R & D Infotech Pvt. Ltd. Registrar and Share Transfer Agent (Hereinafter referred to as "RTA") and Company pertaining to transfer, sub-division, consolidation, duplicate and other requests for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 and also based on the information provided by the Company only, I hereby certify that during the half year ended on 30th September. 2020 the Company has delivered through its Registrar and Share Transfer Agents:

A) All the certificates relating to transfer of shares within 30 days of the date of valid lodgement of the certificates. During this period there had been no case of lodgement of shares for subdivision, consolidation, renewal or endorsement of calls/allotment monies.

B) Any other information: None

Date: 26-10-2020

Place: Kolkata

UDIN: F009096B001065470

RASNA GOYAL Practising Company Secretary

CP No.9289

FLS 9396.