



Date: 12.04.2021

To,  
BSE SME Platform,  
Regd. Office: 25<sup>th</sup> Floor,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400001.

**Scrip Code: 540416**

**Sub: Certificate under Regulation 40 (9) of the SEBI (LODR) Regulations,2015**

Respected Sir/Madam,

I am hereby enclosing the Certificate as required under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the year ended 31<sup>st</sup> March, 2021.

Please take on the record the submission and oblige.

Thanking You,

Yours Faithfully,

**For Octaware Technologies Limited**

**Ankit Mehra**  
*Company Secretary & Compliance Officer*

Encl: As above

# C. B. JAIN & ASSOCIATES.

## Practicing Company Secretaries

Office No. 19, 2<sup>nd</sup> Floor, 30/34 Kartar Premises CSL a.k.a Nanik Niwas, Dr. DD Sathe Marg, Benham Hall Lane, Opera House, Mumbai – 400004.

E-mail id: [cscbjain.associates@gmail.com](mailto:cscbjain.associates@gmail.com)

Mob No: 9664844938 / Tel (O): 022-49736938

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**  
**FOR THE HALF YEAR ENDED 31<sup>st</sup> March, 2021**

On the basis of examination of all relevant Books, register, forms, documents and papers made available to us by Cameo Corporate Services Limited, Registrars and Transfer Agents of M/s. Octaware Technologies Limited, for the purpose of issuing certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, and on the basis of the explanation given to us, we hereby certify that in relation to the half year period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021, there were no requests received for transfer, transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies:

Date	Transferor	Folio No of transferor	No of Shares transferred	Consideration (INR)	Transferee	Share certificates numbers	Folio No of transferee
-	-	-	-	-	-	-	-



Mr. Chirag Jain  
(Practicing Company Secretary)

*dis*

For C. B. JAIN & ASSOCIATES.,  
Membership No. A37337  
C.P.No. 13973

Place: Mumbai  
Date: April 12, 2021  
UDIN No. A037337C000055806