



Swan Energy Limited

(Formerly Swan Mills Limited)

6 FELTHAM HOUSE, 2nd FLOOR, 10, J. N. HEREDIA MARG, BALLRD ESTATE, MUMBAI - 400 001
Tel.: +91 22 4058 7300 • Email: info@swan.co.in / invgrv@swan.co.in • website: swan.co.in
CIN. L17100MH1909PLC000294

Swan/nse/bse

11th April, 2024

Dept. of Corporate Compliances,
National Stock Exchange Limited,
Exchange Plaza, Plot No. C/1, G Block,
Bandra –Kurla Complex, Bandra-East,
Mumbai – 400 051

Listing Department,
Bombay Stock Exchange Limited,
P.J. Tower, Dalal Street, Fort,
Mumbai – 400 001

Dear Sir,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the year ended 31st March, 2024

We are hereby submitting Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 received by the Company from Jignesh M. Pandya & Co. for the year ended 31st March, 2024.

Thanking you,

Yours faithfully,

For Swan Energy Limited

Arun

Satyanarai

n Agarwal

(Arun Agarwal)

Company Secretary

Digitally signed by
Arun Satyanarain
Agarwal
Date: 2024.04.11
15:04:16 +05'30'



JIGNESH M. PANDYA & CO.

Company Secretaries

205, Shashi CHS. Ltd., Behind Raghunath Tower, Devidas Road, Borivali (W) Mumbai 400 103.
Tel. : +91 22 28084509 ● Mob.: +91 9819065068 ● E-mail: jigneshpandyacs@gmail.com

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2024

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Swan Energy Limited** (the Company) maintained by **Purva Sharegistry (India) Pvt Ltd** pertaining to transfer, sub-division, consolidation, renewal, exchange relating to equity shares of the Company for the period from **1st April, 2023 to 31st March, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015 entered into by the Company and based on the information provided by the Company, I hereby certify that the Company has issued during year ended on 31st March, 2024:

Share certificates(s) / Letter of Confirmation(s) relating to the transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies relating to equity shares of the Company received during the period from **1st April, 2023 to 31st March, 2024** as entered in the Memorandum of Transfers within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds and 3 requests for name deletion for 1500 shares.

For **JIGNESH M PANDYA & CO.**,
Company Secretaries



UDIN: A007346F000088053

Date: 11th April, 2024

Jignesh Pandya
Proprietor

ACS - 7346, CP - 7318

Peer Review Certificate no. 2727/2022