

April 24, 2024

To,  
Dept. of Corporate Services (CRD)  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Scrip Code: 540192**

Dear Sir / Madam,

**Sub: Certificate pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate dated April 17, 2024, obtained from Practicing Company Secretary for the year ended March 31, 2024.

Kindly take the same on record and acknowledge the receipt.

Thanking you,

Yours faithfully,  
For **LKP Securities Limited**

**Pratik Mahendra Doshi**  
**Managing Director**  
**DIN: 00131122**

Encl.: As above

**LKP Securities Ltd.**

Regd Off: 203 Embassy Centre, Nariman Point, Mumbai – 400021, Phone: 022 – 2282 8234, Fax 022 – 2284 2415  
Head Off: 2nd floor, Gala Impecca, Andheri Kurla Road, Nr. Hotel Courtyard Marriott, Chakala, Andheri (E), Mumbai – 400059  
Tel.: +91 22 6635 1234 . Fax: +91 22 6635 1249 . Website: [www.lkpsec.com](http://www.lkpsec.com),  
Single SEBI registration number for NSE/BSE/MSEI: INZ000216033 ARN 31751 DPIN-CDSL-206-2003  
CIN L67120MH1994PLC080039 and Maharashtra GSTN No. 27AAACL0963A1ZZ

# V.R. ASSOCIATES

*Company Secretaries*

Resi: G-5/3 Jal Padma, Bangur Nagar, Goregaon West, Mumbai 400 104

Admn office: 31 Topiwala Center, Goregaon West, Mumbai 400 062

Tel: 022-28774306; Mobile 98214 47548; e-mail: [cs.ram25@gmail.com](mailto:cs.ram25@gmail.com)

GST No. 27ACSPV8251A1Z7 ; MSME Regn no. UDAYAM-MH-18-0050392

## CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

We have examined the relevant books, registers, forms, documents and records of LKP Securities Limited (the company), maintained and produced before us by Adroit Corporate Services Pvt. Ltd., their Registrar & Transfer Agents, in respect of share transfers lodged for registration during the year ended 31<sup>st</sup> March, 2024 for the purpose of issuing the certificate under regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”).

Based on our examination as well as information and explanation furnished to us, which to the best of our knowledge and belief were necessary for the purposes of certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the year ended on 31<sup>st</sup> March, 2024, delivered all certificates duly endorsed within the period stipulated under the Listing Regulations. During the period under review, there were 7 share transmission for 4200 shares, 4 requests for deletion of name for 5400 shares, 1 request for transposition of name for 600 shares and 5 requests for duplicate share certificates for 5400 shares and the share certificates were dispatched within the stipulated period.

For *V.R. Associates*

*Company Secretaries*

**V.Ramach**  
**andran**

Digitally signed by

V.Ramachandran

Date: 2024.04.17

10:40:52 +05'30'

*V. Ramachandran*

*C.P. No. 4731*

Place: Mumbai

Date: 17/04/2024

UDIN: A007731F000148333

PRC No.: 1662/2022