


Rajputana
investment and finance ltd

October 21, 2020

To,
Department of Corporate Service
The Bombay Stock Exchange
BSE Limited
25 P. J. Tower, Dalal Street,
Mumbai – 400 001

To,
Secretary
The Calcutta Stock Exchange
CSE Limited
7, Lyons Range,
Kolkata 700001

Ref: Scrip Code: 539090
Scrip Code in CSE: 28089

Sub: Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements), 2015

Dear Sir (s),

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements), 2015 please find enclosed herewith Certificate from Practising Company Secretary for the half year ended September 30, 2020.

This is for your kind information and records.

Thanking You,

Yours faithfully,

For Rajputana Investment & Finance Limited

Annu Jain

Annu Jain
(Company Secretary)
M. No. A49846



Encl : As Above

Rajputana Investment & Finance Ltd

Reg. Address: Flat No. 601, 6th Floor, Nanda Tower, 90, Phears Lane, Kolkata - 700 012

Corporate Office Address: 8/82/3, BRD Complex, Kozhikode Road, Parempadam
Kunnamkulam Via, Thrissur Dist Kerala-680 519

CIN:L65929WB1941PLC010731|**Email Id:**info@rajputanainvestment.com

|**Website:** www.rajputanainvestment.com|**Ph No:** 91+ 82320 27550

CERTIFICATE UNDER REGULATION 40(9) of SEBI (Listing Obligations and Disclosure Requirements), 2015 FOR THE SECOND QUARTER ENDED 30th SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **RAJPUTANA INVESTMENT & FINANCE LIMITED** maintained by **Maheshwari Datamatics Private Limited**, 23 R.N Mukherjee Road 5th Floor, Kolkata –700 001 pertaining to transfer of equity shares of the Company for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing a certificate as per Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements), 2015 entered into by Rajputana Investment & Finance Limited with BSE and based on the information provided by the Company, I hereby certify that the :

- A. This clause relating to issue and delivery of share certificates relating to the share transfer deeds received during the period from 1st April, 2020 to 30th September, 2020 as entered in the memorandum of transfers within fifteen/thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds, is Not Applicable as SEBI has prohibited Physical transfer of shares in case of Listed Companies.

Further as informed to us Company & its RTA has not Received any request for transfer of shares in physical mode during the period from 1st April, 2020 to 30th September, 2020.

- B. As informed to us by the Company & its RTA, they have not received any request for exchange of Share Certificate for issue of duplicate & splitting of Share certificates during the half year ended on 30th September 2020.

Place : Kolkata
Dated: October 10, 2020

For Vishnu Agarwal & Associates
Practicing Company Secretary
Membership No. 54106



Vishnu Agarwal
Vishnu Agarwal
C.P. No. : 21322

UDIN: A054106B000905902