

Plot No. 21 & 22, Sector-6, Faridabad-121 006 (Haryana) INDIA Tel.: 91-129-2240411, 2306700 2306783

17<sup>th</sup> April, 2024

**Listing Department National Stock Exchange of India Limited** 

"Exchange Plaza" Bandra Kurla Complex Bandra (E), Mumbai- 400051

**NSE SYMBOL - SPLIL** 

Listing Department BSE Limited

1<sup>st</sup> Floor, P.J. Towers, Dalal Street, Mumbai- 400001

**SCRIP-532651** 

Subject-

Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements, Regulation, 2015 for the year ended 31<sup>st</sup> March, 2024

Dear Sir/Madam,

As required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate under Regulation 40(9), issued by Registrar & Share Transfer Agent (M/s KFIN Technologies Limited, formerly known as KFIN Technologies Private Limited) along with certificate issued by M/s Agarwal S. & Associates, Practicing Company Secretaries, for the year ended 31<sup>st</sup> March, 2024

Kindly take it on record.

Thanking You

Truly yours For, **SPL Industries Limited** 

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Date 2004-04 7 (0.5848-46587

Vishal Srivastava

(Company Secretary & Compliance Officer)

Encl. – As above



D-427, 2<sup>nd</sup> Floor, Palam Extn., Ramphal Chowk, Sector 7, Dwarka, New Delhi-110075 Email Id: asacs2022@gmail.com

Phone: 011-45052182

To,
The Board of Directors
SPL Industries Limited
Office No- 202, IInd Floor,
Vikramaditya Tower
Alaknanda Market,
Kalkaji, Delhi - 110019

<u>Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 during the period 01.04.2023 to 31.03.2024</u>

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to "M/s. SPL Industries Limited" having its Registered Office at Office No. 202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, New Delhi 110019 maintained by KFin Technologies Limited (formerly known as Kfin Technologies Private Limited), Registrar and Share Transfer Agent of the Company (RTA), for physical work & electronic connectivity, pertaining to transfer of equity shares and non-convertible debentures of the Company for the period ended on 31st March, 2024 and based on compliance certificate/ information received from RTA, for the purpose of issuing a Certificate as per Regulation 40(9) and Regulation 61 (4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during the year ended on 31.03.2024:

- A) The Company did not receive any request for transfer of shares.
- B) The Company did not receive any request(s) for issue of Certificate(s) in respect of Duplicate/Split/Consolidation and Sub-division/ Replacement/ Renewal/Exchange/Endorsement/ re-materialization of shares, as applicable under Listing Regulations.

## FOR AGARWAL S. & ASSOCIATES

Company Secretaries
ICSI Unique Code: P2003DE049100
Peer Review Cert. No. 2725/2022

GARIMA Digitally signed by GARIMA GROVER
Date: 2024.04.16
Date: 2024.04.16
Date: 2024.05:30'

CS Garima Grover Partner ACS 27100 CP 23626

UDIN: A027100F000139751

Place: New Delhi Date: 16.04.2024

ICSI Unique Code: P2003DE049100 MSME Udyog Aadhaar Number: DL10E0008584

Date: 13.04.2024



R/KFin/AHN/REG 40(9)/Q4

Тο THE COMPANY SECRETARY SPL INDUSTRIES LIMITED 202, IIND FLOOR VIKRAMADITYA TOWER ALAKNANDA MARKET KALKAJI, NEW DELHI 110019.

Dear Sir / Madam,

Unit: SPL INDUSTRIES LIMITED

Sub: Regulation 40(9) of SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.

We confirm the following.

- 1. Not received any valid share transfer request during the period from April 01, 2023 to March 31, 2024.
- 2. Not received any valid request for issue of deletion of name / transmission / duplicate / replacement / exchange / split of share / consolidation/ endorsement and re materialization of shares during the period from 1st April 2023 to 31st March 2024.

Thanking You,

For KFin Technologies Limited

KALE RAJ KUMAR KUMAR Date: 2024.04.13 15:32:49 +05'30'

Raj Kumar Kale Asst. Vice President

KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

CIN: L72400TG2017PLC117649

