



Ind-Swift Laboratories Limited

(A Recognised Export House)

Regd. Office : S.C.O. 850, Shivalik Enclave, NAC, Manimajra, Chandigarh - 160 101
Phones : ++ 91 - 172-2730503, 2730920, Fax + + 91 - 172 - 2730504, 2736294
E-mail : info@indswiftlabs.com Website : www.indswiftlabs.com
CIN No. L24232CH1995PLC015553



Ref.: ISLL:CH:2021:

Date: 15th July, 2021

The President,
Corporate Relationship Department,
Bombay Stock Exchange Limited,
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai 400 001

The Vice President,
National Stock Exchange of India Limited,
Exchange Plaza, 5th Floor,
Plot No.C/2, G-Block,
Bandra Kurla Complex, Bandra (E),
Mumbai 400 051

BSE Scrip Code: 532305

NSE Symbol: INDSWFTLAB

Sub-Reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015

Ref: SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020

Dear Sir/ Madam,

This is to inform you that in terms of the SEBI (Prohibition of Insider Trading) Regulations, 2015 ("the SEBI Regulations"), Code of Practices and Procedures for Prevention of Insider Trading and Code of Conduct & Fair Disclosure of Unpublished Price Sensitive Information ("Company Code") and SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, the details of violation of the SEBI Regulations and Company Code by the Designated Person along with the action taken by the Company thereon is enclosed as "Annexure A" to this letter.

Kindly take the above information on record.

Thanking you,

For IND-SWIFT LABORATORIES LTD.



PARDEEP VERMA
AVP-CORPORATE AFFAIRS &
COMPANY SECRETARY

Encl: As above



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Annexure A

Reporting of Insider Trading violation under Regulation 9(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

S.No	Particulars	Details
1	Name of the listed entity	Ind-Swift Laboratories Limited
2	Please tick the appropriate box Reporting in the capacity of : Listed entity <input checked="" type="checkbox"/> Intermediary Fiduciary	
3	A. Details of Designated Person (DP)	
	(i) Name of the DP	Mr. Pranav Gupta
	(ii) PAN of the DP	AJOPG0924E
	(iii) Designation of DP	AGM
	(iv) Functional Role of DP	Manufacturing
	(v) Whether DP is Promoter or belongs to Promoter Group	No
	B. Details of transaction(s)	
	(i) Name of the scrip	Ind-Swift Laboratories Limited NSE- INDSWFTLAB BSE- 532305
	(ii) No of shares traded and value (Rs.) (Date- wise)	Sold 500 Shares amounting to Rs. 40,375 on March 25, 2021 and thereafter Purchase 1000 Shares amounting to Rs. 72,900 on 30 th March, 2021.
	C. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter:-	
	(i) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	(ii) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
4	Details of violations observed under Code of Conduct	The Designated Person sold the equity shares of the Company on 25 th March, 2021 and thereafter purchased 1000 equity shares of the Company on 30 th March, 2021 in violation of the Clause 10 of Schedule B of Insider Regulations which states that the Employee should not enter into Contra Trade.





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5	Action taken by Listed company	The Audit Committee is empowered to take action on the violations of Insider Trading Regulations. A show cause notice was issued immediately when the violation came to the knowledge of the Compliance Officer. An opportunity was provided of being heard. The Audit Committee imposed a penalty of Rs. 4000/- which was to be paid by the violator within a week and also restricted the selling of 1000 shares till 31 st March, 2022.												
6	Reasons recorded in writing for taking action stated above	Explanation was sought from Mr. Pranav Gupta by issuing Show Cause Notice to him. In reply of which, he admitted that he had done contra trade and pleaded that the same was not intentional but due to ignorance.												
7	Details of the previous instances of violations, if any, since last financial year	Nil												
8	If any amount collected for Code of Conduct violation(s):	Rs. 4,000/- has been collected from Mr. Pranav Gupta as penalty towards the violations of the Insider Trading Code of the Company and transferred to the IEPF Account of the SEBI.												
	- Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online Payment												
	- Details of transfer/payment	<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Name of the Transferor</td><td>Ind-Swift Laboratories Limited</td></tr><tr><td>Bank Name, Branch and Account Number</td><td>IDBI Bank Ltd. Chandigarh-Sector 17-B, A/c No. 0143655100002271</td></tr><tr><td>UTR/Transaction Reference Number</td><td>NEFT - BKID0000122-SEBI IPEF</td></tr><tr><td>Transactions Date</td><td>13/07/2021</td></tr><tr><td>Transaction Amount (In Rs.)</td><td>Rs. 4,000/-</td></tr></table> <p>Bank Statement evidencing the transfer of penalty amount to SEBI-IEPF is enclosed.</p>	Particulars	Details	Name of the Transferor	Ind-Swift Laboratories Limited	Bank Name, Branch and Account Number	IDBI Bank Ltd. Chandigarh-Sector 17-B, A/c No. 0143655100002271	UTR/Transaction Reference Number	NEFT - BKID0000122-SEBI IPEF	Transactions Date	13/07/2021	Transaction Amount (In Rs.)	Rs. 4,000/-
Particulars	Details													
Name of the Transferor	Ind-Swift Laboratories Limited													
Bank Name, Branch and Account Number	IDBI Bank Ltd. Chandigarh-Sector 17-B, A/c No. 0143655100002271													
UTR/Transaction Reference Number	NEFT - BKID0000122-SEBI IPEF													
Transactions Date	13/07/2021													
Transaction Amount (In Rs.)	Rs. 4,000/-													
9	Any other relevant information	Nil												

Yours faithfully,

For IND-SWIFT LABORATORIES LTD.

Pardeep Verma

PARDEEP VERMA

AVP-CORPORATE AFFAIRS &
COMPANY SECRETARY

PAN: ABTPV7371B

Email Id: pardeep.verma@indswiftlabs.com



Date: 15th July, 2021

Place: Chandigarh

Works : Barwala Road, Vill. Bhagwanpur, Near Dera Bassi, Distt. Mohali (Punjab)
Tel. : ++ 91-1762-281072, Telefax : ++91-1762-281073

Email address for your queries: customercare@idbi.co.in

Email address for your IDBI Equity and Flexibond queries: ird@idbi.co.in



Our Toll Free Numbers
1800-209-4324 / 1800-22-1070



Primary Account Holder Name : IND-SWIFT LABORATORIES LIMITED
Address :

HARYANA
INDIA

Account No : 0143655100002271
: 2673363

Account Branch: : CHANDIGARH - SECTOR 17-B) MAIN BR

Account Statement

Transaction Date From : 13/07/2021 to 13/07/2021

A/C NO: 0143655100002271

S#	Txn Date	Value Date	Description.	Cheque No	CR/DR	CC Y	Amount (INR)	Balance (INR)
1	13/07/2021 15:45:40	13/07/2021	NEFT-GST-DR-IBKL210713275294		Dr.	INR	4.50	-18,12,70,984.63
2	13/07/2021 15:45:40	13/07/2021	NEFT-CHARGE-DR-IBKL21071327529		Dr.	INR	25.00	-18,12,70,980.13
3	13/07/2021 15:45:40	13/07/2021	NEFT-HDFC0001957-THAKUR AND		Dr.	INR	3,53,413.00	-18,12,70,955.13
4	13/07/2021 15:43:21	13/07/2021	NEFT-GST-DR-IBKL210713276308		Dr.	INR	0.45	-18,09,17,542.13
5	13/07/2021 15:43:21	13/07/2021	NEFT-CHARGE-DR-IBKL21071327630		Dr.	INR	2.50	-18,09,17,541.68
6	13/07/2021 15:43:21	13/07/2021	NEFT-BKID0000122-SEBI IPEF		Dr.	INR	4,000.00	-18,09,17,539.18
7	13/07/2021 15:41:22	13/07/2021	NEFT-GST-DR-IBKL210713248282		Dr.	INR	4.50	-18,09,13,539.18
8	13/07/2021 15:41:22	13/07/2021	NEFT-CHARGE-DR-IBKL21071324828		Dr.	INR	25.00	-18,09,13,534.68
9	13/07/2021 15:41:22	13/07/2021	NEFT-BKID0006200-SISLAX PHA		Dr.	INR	10,00,000.00	-18,09,13,509.68
10	13/07/2021 15:41:19	13/07/2021	NEFT-GST-DR-IBKL210713248014		Dr.	INR	4.50	-17,99,13,509.68
11	13/07/2021 15:41:19	13/07/2021	NEFT-CHARGE-DR-IBKL21071324801		Dr.	INR	25.00	-17,99,13,505.18
12	13/07/2021 15:41:19	13/07/2021	NEFT-BNPA0009009-INDIAN OIL		Dr.	INR	19,98,000.00	-17,99,13,480.18
13	13/07/2021 13:24:33	13/07/2021	KRISHAN GOPAL	205141	Dr.	INR	802.00	-17,79,15,480.18
14	13/07/2021 13:24:33	13/07/2021	PRAVEEN NEGI MANWAR	205126	Dr.	INR	1,23,124.00	-17,79,14,678.18
15	13/07/2021 13:24:33	13/07/2021	KARAN DEEP PARTI	205254	Dr.	INR	59,400.00	-17,77,91,554.18
16	13/07/2021 13:24:33	13/07/2021	MAJOR KUMAR	205109	Dr.	INR	1,350.00	-17,77,32,154.18
17	13/07/2021 13:24:32	13/07/2021	GURDEEP SINGH SARIN	205136	Dr.	INR	31,680.00	-17,77,30,804.18
18	13/07/2021 13:24:32	13/07/2021	SURINDER KUMAR	205140	Dr.	INR	1,154.00	-17,76,99,124.18
19	13/07/2021 13:24:32	13/07/2021	VIJAY KUMAR CHANDRA	205129	Dr.	INR	3,077.00	-17,76,97,970.18
20	13/07/2021 13:24:31	13/07/2021	VIJAY KUMAR	205132	Dr.	INR	1,98,860.00	-17,76,94,893.18
21	13/07/2021 13:24:31	13/07/2021	PARTEEK KUMAR	205099	Dr.	INR	2,000.00	-17,74,96,033.18
22	13/07/2021 13:24:31	13/07/2021	GAURAV MALIK	205139	Dr.	INR	25,500.00	-17,74,94,033.18

Statement Summary:-

Dr Count	Cr Count	Debits	Credits
22	0	3802451	0

This is an account statement generated through Net Banking and does not require signature.



IDBI Bank Ltd. Regd. Office: IDBI Tower, WTC Complex, Mumbai 400005. Website: www.idbi.com
Our Toll-free numbers are: 1800-22-1070 & 1800-200-1947. Chargeable number: 022-66937000. For Blocking of Debit Card Contact on: 1800-22-