

VSL/2020-21/SEC/19

26th October, 2020

The Manager,
BSE Ltd.,
Phiroze Jeejeebhoy Towers,
Dalal Street,
MUMBAI - 400 001

BSE SCRIP CODE: 531950

Dear Sir,

Sub: **Compliance Certificate under Regulation 7(3) of SEBI Listing Regulations**

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit the Compliance Certificate for the half year ended 30th September, 2020.

Kindly take the same on your records.

Thanking you,

Yours faithfully,

For **VERTEX SECURITIES LIMITED,**



Pranali Kadam
Company Secretary

Encl: a/a



VERTEX SECURITIES

Wisdom ↔ Value

CIN : L67120KL 1993PLCOO7349

26/10/2020

To,
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400001

Dear Sirs,

Subject: Compliance Certificate - Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2020

Scrip Code: 531950

As per the requirement of Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility were maintained during the period April 1, 2020 to September 30, 2020 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number : INR000004058.

Kindly take the same on record.

Thanking you,

For Vertex Securities Limited

Pranali Kadam
Company Secretary

For Link Intime India Private Limited

Authorised Signatory

Vertex Securities Limited (A Subsidiary of Transwarranty Finance Limited)

Registered Office : Thottathil Towers, II Floor Market Road, Ernakulam, Kochi 682018 Tel : 0484 - 2384848, Fax : 0484 2394209

Corporate Office : 403, Regent Chambers, Nariman Point, Mumbai - 400 012, Tel : 022-40010800/900, Fax: 022-40010888/999

Website : www.vertexbroking.com, www.transwarranty.com Email : vsl@vertexbroking.com, customercare@transwarranty.com