

#### 29.10.2020

To, The Manager, Listing Department, National Stock Exchange of India Ltd, Exchange Plaza, C-1, Block-G, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051, Ph: No: 022-26598100 Scrip Code: GEOJITFSL - EQ To, The Manager, Listing Department, The BSE Limited, Phiroze Jeejeebhoy Towers Dalal Street, Mumbai - 400 001, Ph No: 022-22721233 Scrip Code: 532285

Dear Sir/Madam,

### Sub: Share Transfer Audit Report

Please find enclosed herewith the Share Transfer Audit Report for the half year ended 30th September, 2020 as required under Regulation 40(9) and Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you, Yours faithfully,

### For Geojit Financial Services Ltd

LIJU Digitally signed by LIJU KAITHERATHU KAITHERATH JOHNSON U JOHNSON Date: 2020.10.29 10:40:10 +05'30'

Liju K Johnson Company Secretary



Geojit Financial Services Ltd, Registered Office: 34/659-P, Civil Line Road, Padivattom, Kochi-682024, Kerala, India, Phone: +91 484-2901000, Website: www.geojit.com. For investor queries: customercare@geojit.com, For grievances: grievances@geojit.com, For compliance officer: compliance@geojit.com. Corporate Identity Number: L67120KL1994PLC008403, SEBI Regn Nos. Research Entity INH200000345, Investment Adviser INA200002817, Portfolio Manager INP000003203, Stock Broker INZ000104737, Depository Participant IN-DP-325-2017, ARN Regn.Nos:0098, IRDA Corporate Agent (Composite) No: CA0226. SATHEESH AND REMESH COMPANY SECRETARIES

Bldg. No. 55/1682, 2nd Floor, "Krishna Apartments", M G Road, (Behind Duroflex Showroom), Ambady Lane Ravipuram, Ernakulam, Kochi - 682016 Ph : 0484 2356736 Mobile : 9447431347, 9746094939 Email : sathveeka001@gmail.com Service Tax No : ABLFS2799ASD002

# TO WHOMSOEVER IT MAY CONCERN

## CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to Geojit Financial Services Limited maintained by its Registrar and Share Transfer Agents, S.K.D.C CONSULTANTS LIMITED pertaining to transfer of equity shares of the company for the period from 01st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board Of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company.

We hereby certify that no demat requests were received during the period in respect of equity shares of M/s. Geojit Financial Services Ltd.

Only one deletion of holder's name request document (physical) was received during the period in respect of equity shares of M/s. Geojit Financial Services Limited and the same was processed within 21 days and there is no pending document for more than 21 days.

There were no Transmission, Transmission, Transposition, attainment of majority, change in name, Remat and issue of duplicate, split and consolidation of share certificate requests received during the half-year ended on 30th September, 2020.



For Satheesh and Remesh Company Secretaries

120 N. Satheesh Kumar

N. Satheesh Kumar Partner C P No.6607

Place : Kochi Date : 28.10.2020

1) DIN. A01654313001083554