

ACTION FINANCIAL SERVICES (INDIA) LIMITED

Giving Power To Your Investments

MEMBER: NSE & BSE (CASH SEGMENT) - NSE (DERIVATIVES) - DEPOSITORY PARTICIPANT
SEBI REGISTRATION NO.: INZ000179538 - IN-DP- NSDL-21-97
CIN: L65944MH1992PLC068879 • WEBSITE: www.actionfin.com

Tel.: (91) (22) 43654444 • Fax: (91) (22) 43654446

Email: actionfin@actionfin.com (Broking Division) • actiondp@actionfin.com (DP Division)

Registered Office:

46 & 47, Rajgir Chambers, 6th Floor,
12/14 - Shahid Bhagat Singh Road,
Opp. Old Custom House, Fort,
Mumbai - 400001.

Corporate Office (DP & Broking Division):

11 to 15, Rajgir Chambers, 2nd Floor,
12/14 - Shahid Bhagat Singh Road,
Opp. Old Custom House, Fort,
Mumbai - 400001.



Date: 12th May, 2020

To,
Listing Compliance Dept.
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400001

Ref : Scrip ID – ACTIONFI Scrip Code - 511706

Sub : Certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015.

Dear Sir,

In compliance of Regulation 40(10) of SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015, the certificate from Practicing Company Secretary under Regulation 40(9) for transfer, sub-division, consolidation, renewal, exchange etc. of shares during the half year ended 31st March, 2020 is enclosed herewith.

Kindly take the same on record.

Thanking You.

Yours faithfully,

For Action Financial Services (India) Limited

Milan Parekh
Managing Director

Encl.: As above



Rozy Empire, Silvassa Road,
Opp.: PWD Rest House, Vapi - 396 191,
Tele Fax: (0260) 2431655 9324545141,
Email : jppvapi13@csjpc.in

202, Prem Kutir CHS Ltd.
Prem Nagar, Near MCF Udyan,
Borivali (W) Mumbai 400091
Tel: (022) 2894 0483, 3067 5215

Date – 08/05/2020

To,
The Board of Directors
Action Financial Services (India) Limited
46 & 47, 6th floor, Rajgir chambers,
12/14, Shahid Bhagat singh Road,
Opp. Old Custom House fort,
Mumbai-400023

Dear Sirs,

Sub: - Certificate under Regulation 40 (9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

We have examined the data produced before us by E-mail (*the relevant books, registers, forms, documents and papers could not be made available due to COVID-19 pandemic*) relating to **Action Financial Services (India) Limited ("the Company")** maintained by **Link Intime India Private Limited (Registrar & Share Transfer Agent)** pertaining to transfer, sub-division, consolidation, renewal, exchange etc. of equity shares of the Company for the period from **1st October, 2019 to 31st March, 2020** for the purpose of issuing a Certificate as per **Regulation 40 (9) Of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015** entered into by the Company with BSE Limited we hereby certify that the Company has **not received** any request for transfer, sub-division, consolidation, renewal, exchange etc. during **the half year ended 31st March, 2020**.

FOR JAGDISH PATEL & CO.

Unique Code No.: P1991GJ052300

Company Secretaries,



Partner

Ronak Kalathiya

UDIN: A037007B000214351