

THE FIRST CUSTODIAN FUND (INDIA) LTD.

CIN No. : L67120WB1985PLC038900



REGD. OFFICE : 11 CAMAC STREET, KOLKATA - 700 017 • PHONE : 2282 2503

CORPORATE OFFICE : SURYA MAHAL 3RD FLOOR, NAGINDAS MASTER ROAD, FORT, MUMBAI-400 023. INDIA
TEL.: 6635 9001 • 6635 9002 • FAX: +91-22-2270 3539 • E-MAIL: ffcfl@rediffmail.com • WEB.: www.firstcustodianfund.com

Date: 11th April, 2024

To,
Dept. of Corporate Services
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400 001

Sub: Regulation 40 (9) of the SEBI (LODR) Regulations, 2015
Ref: Certificate from Practicing Company Secretary

Dear Sir / Madam,

With reference to above and pursuant to Regulation 40 (9) of SEBI (LODR) Regulations, 2015, please find enclosed herewith the certificate received from M/s. P. C. Shah & Co., Practicing Company Secretaries in respect of transfer or transmission or transposition of securities for the financial year ended 31st March, 2024.

We request you to take the same on your records and oblige.

Thanking you,

Yours faithfully,

FOR THE FIRST CUSTODIAN FUND (INDIA) LTD

GIRIRAJ DAMMANI
MANAGING DIRECTOR

Encl: As above.

P. C. Shah & Co.
Company Secretaries
(Formerly known as P. P. Shah & Co.)
Punit Pradip Shah
B. Com, ACS

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS, 2015

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2024

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s. The First Custodian Fund (India) Limited* having its Registered Office situated at 11, Camac Street, Kolkata – 700 017 maintained by *M/s. Link Intime (India) Private Limited*, a Registrar & Share Transfer Agent (RTA) having its office at C 101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai – 400 083 pertaining to transfer of equity shares (shares re-lodged for transfers for the purpose of issue in demat mode only in terms of circular issued by SEBI dated September 7, 2020 bearing reference no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 and circular issued by SEBI dated December 2, 2020 bearing reference no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236) of the company for the period from *01st April, 2023 to 31st March, 2024* for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (LODR) Regulations, 2015 entered into by, *M/s. The First Custodian Fund (India) Limited* with BSE Limited and based on the information provided by the Company, I hereby certify that the Company has delivered during *financial year ended on 31st March, 2024*:

A) ~~Share/Debt~~ Certificate(s) relating to the transfer of Shares/~~Debentures~~ received during the period from *01st April, 2023 to 31st March, 2024* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) *Any other information, if applicable:*

Note: As per The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fourth Amendment) Regulations, 2018, (hereinafter SEBI (LODR)) read with SEBI Notification No. SEBI/LAD-NRO /GN/ 2018/49 dated 30th November, 2018, first proviso has been inserted in Regulation 40 (1) of SEBI (LODR) as per which requests for effecting transfer of securities w.e.f. 1st April, 2019 shall not be processed unless the securities are held in



P. C. Shah & Co.

Company Secretaries
(Formerly known as P. P. Shah & Co.)

Punit Pradip Shah

B. Com, ACS

the dematerialized form with a depository. Accordingly, during the period from *01st April, 2023* to *31st March, 2024* no requests for share transfers were processed in physical form.

For P. C. Shah & Co.,
Practicing Company Secretaries
(Formerly known as M/s. P. P. Shah & Co.)
Unique ICSI ID No.: S2023MH955400

Punit P. Shah

Punit P. Shah
Proprietor

ACS No: 20536, COP No: 7506
UDIN: A020536F000092696
Peer Review: 666/2020



Date: 11th April, 2024
Place: Mumbai

Please note that:

- 1) This Certificate should be given by a *Practicing Company Secretary*.
- 2) The Certificates should be given for *Financial Year ended 31st March, 2024*.