



भारत हेवी इलेक्ट्रिकल्स लिमिटेड Bharat Heavy Electricals Limited

TO:

1. BSE LIMITED, MUMBAI
(Through BSE Listing Centre)
2. NATIONAL STOCK EXCHANGE OF INDIA LTD., MUMBAI
(Through NEAPS)

Sub: Trading by Designated Persons

Pursuant to Point 13 of Schedule B of the SEBI (Prohibition of Insider Trading) Regulations, 2015, it is hereby informed that the Company has recently become aware that two of its Designated Persons have traded in BHEL securities during the periods of closure of trading window. Further inquiry on the matter is underway.

Inline with SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23.07.2020, the reports in the prescribed format for reporting violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct to Stock Exchanges is enclosed.

Regards,

No. AA/SCY/SEs
Date: 06.11.2020

(Balvir Talwar)
GM & Head (HR&CC)
shareholderquery@bhel.in

REPORT BY BHARAT HEAVY ELECTRICALS LIMITED FOR VIOLATIONS RELATED TO CODE OF CONDUCT UNDER SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015

Sr. No.	Particulars	Details						
1	Name of the listed company/ Intermediary/Fiduciary	Bharat Heavy Electricals Limited						
2	Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company						
3	A. Details of Designated Person (DP)							
	i. Name of the DP	K Nagarajan						
	ii. PAN of the DP	AADPN3013F						
	iii. Designation of DP	GM (Outsourcing), HPBP, Trichy						
	iv. Functional Role of DP	Outsourcing						
	v. Whether DP is Promoter or belongs to Promoter Group	No						
	B. If Reporting is for immediate relative of DP							
	i. Name of the immediate relative of DP	NA						
	ii. PAN of the immediate relative of DP	NA						
	C. Details of transaction(s)							
	i. Name of the scrip	BHEL						
	ii. No of shares traded and value (Rs.) (Date- wise)	<table border="1"> <thead> <tr> <th>Date of Purchase</th><th>No. of shares purchased</th><th>Value (approx.)Rs.</th></tr> </thead> <tbody> <tr> <td>Inquiry is being held</td><td>25</td><td>Inquiry is being held</td></tr> </tbody> </table>	Date of Purchase	No. of shares purchased	Value (approx.)Rs.	Inquiry is being held	25	Inquiry is being held
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	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter							
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA						
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA						
4	Details of violations observed under Code of Conduct	Violation of Point 4 of Schedule B of the SEBI (Prohibition of Insider Trading) Regulations, 2015						

5	Action taken by Listed company/ Intermediary/ Fiduciary	Inquiry is being conducted																						
6	Reasons recorded in writing for taking action stated above	NA																						
7	Details of the previous instances of violations, if any, since last financial year	Inquiry is being conducted																						
8	<p>If any amount collected for Code of Conduct violation(s)</p> <p>i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)</p> <p>ii. Details of transfer/payment In case of Online:</p> <table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td></td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td></td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td></td> </tr> <tr> <td>Transaction date</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td></td> </tr> </tbody> </table> <p>In case of Demand Draft (DD):</p> <table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> <tr> <td>DD amount (in Rs.)</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor		Bank Name, branch and Account number		UTR/Transaction reference Number		Transaction date		Transaction Amount (in Rs.)		Particulars	Details	Bank Name and branch		DD Number		DD date		DD amount (in Rs.)		<p>Inquiry is being conducted</p> <p>Inquiry is being conducted</p>
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9	Any other relevant information	NA																						

Yours faithfully,

(SUBODH GUPTA)

Name and Signature of Compliance Officer

PAN: ACFPG2378E

Email ID: FINSGUPTA@BHEL.IN

Date: 06.11.2020

Place: New Delhi

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3	A. Details of Designated Person (DP)										
	i. Name of the DP	Rakesh Kumar Srivastava									
	ii. PAN of the DP	AJBPS3970R									
	iii. Designation of DP	GM (Kothagudem)									
	iv. Functional Role of DP	1X800 MW Kothamgudem Construction Site									
	v. Whether DP is Promoter or belongs to Promoter Group	No									
	B. If Reporting is for immediate relative of DP										
	i. Name of the immediate relative of DP	NA									
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