

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)**

**FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN SMALL FINANCE BANK LIMITED** (“Bank”), maintained by **KFin Technologies Private Limited** (Formerly known as *Karvy Fintech Private Limited*), pertaining to transfer of equity shares of the Bank for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Regulation 40 (9) of the Listing Regulations. I hereby certify that during half year ended on **31<sup>st</sup> March, 2021**:

- A)** There were no requests received for transfer or transmission shares or deletion of names or split, sub-division or consolidation of shares for the period **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021**.
- B)** Any other information, if applicable: **Nil**

Place: Bengaluru  
Dated: 12.04.2021

**K JAYACHANDRAN**  
CP NO. 4031  
UDIN: A011309C000064681