

# SERA INVESTMENTS & FINANCE INDIA LIMITED

(Formerly known as Kapashi Commercial Limited)

**Date:** April 23, 2024

**To,**  
**BSE Limited,**  
Floor 25, P. J. Towers  
Dalal Street,  
Mumbai - 400 001

Dear Sir,

**Sub.: Compliance Certificate for the Financial Year ended on March 31, 2024**  
**Ref:- Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements)**  
**Regulations, 2015**  
**Scrip Code: 512399**

Dear Sir,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Compliance Certificate obtained from M/s. Khandelwal Devesh & Associates, Practising Company Secretaries for the Financial Year ended on **March 31, 2024**.

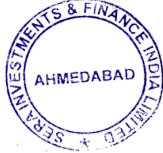
Kindly take the same in your record.

Thanking you,

Yours faithfully,

**For, SERA INVESTMENTS & FINANCE INDIA LIMITED**

**SHWETA SAMIR SHAH**  
**MANAGING DIRECTOR**  
**DIN: 03082967**



Encl: As Above

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/S. SERA INVESTMENTS & FINANCE INDIA LIMITED (CIN: L51900GJ1985PLC110976)** maintained by **M/S. PURVA SHAREGISTRY (INDIA) PRIVATE LIMITED**, the Registrar and Share Transfer Agent of the Company pertaining to transfer, sub-division, consolidation, renewal, exchange relating to equity shares of the company for the period from **April 01, 2023 to March 31, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, I hereby certify that:

- A) The Company has not received any requests for Transfer, Transmission, Transposition, Name Deletion, Renewal, Mutilation, Duplicate Share Certificates, Consolidation, Change of Name, Remat, Demat, Transmission, Transposition and Name Deletion received during the period from **April 01, 2023 to March 31, 2024**.
- B) The equity shares of the Company have been subdivided from 1 (One) equity share having face value of Rs. 10/- each into 5 (Five) equity shares having face value of Rs. 2/- each. The RTA has credited the shares in demat account of the respective shareholders and also issued physical share certificates to the respective shareholders during the period from **April 01, 2023 to March 31, 2024**.

**For, M/S. KHANDELWAL DEVESH & ASSOCIATES  
COMPANY SECRETARIES**

**Date:** April 23, 2024  
**Place:** Ahmedabad



**Devesh Khandelwal  
Proprietor  
FCS: 6897  
COP: 4202**

**UDIN: F006897F000221969  
PR. NO.:-863/2020**