

May 26, 2020

The General Manager  
**BSE Limited**  
Corporate Relationship Department  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai- 400 001

The Manager  
**National Stock Exchange of India Limited**  
Listing Department  
Exchange Plaza  
5th Floor, Plot No. C-1, Block-G  
Bandra-Kurla Complex, Bandra(E)  
Mumbai-400 051

**BSE Scrip Code: 532281**

**NSE Scrip Code: HCLTECH**

**Sub: Compliance certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

Enclosed herewith please find the certified true copy of the Compliance certificate from a Practicing Company Secretary in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from October 1, 2019 to March 31, 2020.

This is for your information and records.

Thanking You,

Yours faithfully,  
For **HCL Technologies Limited**



**Manish Anand**  
**Company Secretary**

Encl:a/a

**TO WHOMSOEVER IT MAY CONCERN****COMPLIANCE CERTIFICATE IN TERMS OF REGULATIONS 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2020.**

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to Equity Shares of **HCL TECHNOLOGIES LIMITED** maintained by **LINK INTIME INDIA PVT LTD**, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01st October, 2019 to 31st March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- A) The Company has delivered during half year ended on 31st March, 2020 share certificates relating to the transfer deeds lodged prior to 01st April, 2019 and re lodged thereafter, received during the period from 01st October, 2019 to 31st March, 2020, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment.
- B) The Company has delivered wherever required during half year ended on 31st March, 2020 share certificates in respect of requests relating to transmission of securities, change/deletion of name, transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies and renewal received during the period from 01st October 2019 to 31st March, 2020, within thirty days, from the respective date of lodgment.

This Certificate has been issued pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended under the conditions of Lock Down due to COVID-19 with limited available resources.

**For NITYANAND SINGH & Co.**  
**COMPANY SECRETARIES**



**Date: 25<sup>th</sup> May, 2020**  
**Place: New Delhi**

**NITYANAND SINGH**  
**C. P. No. 2388**  
**UDIN: F002668B000276931**