

ROCKON ENTERPRISES LIMITED

(Formerly Rockon Fintech Limited)
CIN: L65923MH1976PLC019072

E-109, Crystal Plaza,
New Link Road, Opp. Infinity Mall,
Andheri (W), Mumbai - 400053
Tel.: (022) - 61522222, 615222235
Fax: (022) - 61522234
Email: rockonfintech123@gmail.co
Web-site: www.rockonfintech.com

Date: 22/05/2020

To

Bombay Stock Exchange Limited
Corporate Relationship Department,
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, Mumbai – 400001

BSE Code:-531447

Sub: Compliance Certificate under Regulation 7(3) of SEBI Listing Obligation & Disclosure Regulations 2015 for the half year ended 31st March 2020.

Dear Sir,

We are enclosing herewith Compliance Certificate pursuant to Regulation 7(3) of SEBI Listing Obligation and Disclosure Requirements 2015, for the half year ended 31st March 2020.

Kindly take the aforesaid on your records and acknowledge receipt of the same.

Thanking You,

Yours faithfully,

For Rockon Enterprises Limited

Sd/-

Davendra Kumar

Company Secretary and Compliance Officer

ROCKON ENTERPRISES LIMITED

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ISIN : INE644B01049
Scrip Code : 531447

Compliance Certificate

[Pursuant to Regulation 7(3) of SEBI (LODR) Regulations, 2015]

I (We) being the Compliance officer of **Rockon Enterprises Limited** ("Company") and the Authorised Representatives of **Purva Shareregistry (I) Pvt Ltd** ("Share Transfer Agents") do hereby certify that the Rockon Enterprises Limited having its Registered Office at E-109, Crystal Plaza, New link road, Andheri (west), Mumbai 400053 has appointed M/s PurvaShareregistry (I) Pvt Ltd as its Share Transfer Agents (STA) having its Registered Office/Place of activities at Unit no. 9, Shiv Shakti Ind. Estt., J. R. BorichaMarg, Opp. Kasturba Hospital Lane, Lower Parel (E), Mumbai 400 011 for effecting transfer of shares, etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001112

Further, Purva Shareregistry (I) Pvt Ltd as a STA has properly conducted all the activities as prescribed under Regulation 7 (2) of the SEBI (LODR) Regulations, 2015 thereto in relation to both physical and electronic share transfer facility of Rockon Enterprises Limited during the period from October 1, 2019 to March 31, 2020 on its behalf.

In our opinion and to the best of our knowledge and information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to both physical and electronic share transfer of Rockon Enterprises Limited.

For and on behalf of
Rockon Enterprises Limited

Sd/-
Davendra Kumar
(Compliance Officer)

Place : Mumbai
Date: 22/05/2020

For and on behalf of
PurvaShareregistry (India) Private Limited

 

Deepali Dhuri
(Compliance Officer)

Place : Mumbai
Date : 22/05/2020