



# SHARDUL SECURITIES LIMITED

CIN : L50100MH1985PLC036937

G 12, Tulsiani Chambers, Nariman Point, Mumbai - 400 021.

Tel. : 91 22 4009 0500 Fax : 91 22 2284 6585

Email id : investors@shriyam.com Website : www.shardulsecurities.com

October 29, 2020

The Manager  
Department of Corporate Services  
The Stock Exchange, Mumbai  
P. J. Towers, Dalal Street  
Mumbai- 400 001.

Dear Sir,

**Re: Our Security Code No.512393**

**Sub: Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September 2020.**

With reference to the captioned matter, please find enclosed for the half-year ended 30<sup>th</sup> September 2020, Compliance Certificate pursuant to sub-regulation 9 of Regulation 40 of the SEBI Listing (Obligations and Disclosure Requirements) Regulations, 2015 received from M/s. Dhirendra Maurya & Associates, Company Secretaries.

Kindly acknowledge the receipt and take the above on record.

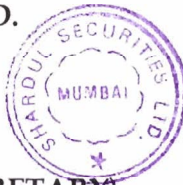
Thanking you,

Yours faithfully,

**For SHARDUL SECURITIES LTD.**

**DAYA BHALIA**  
**(DIRECTOR & COMPANY SECRETARY)**

Encl: As above



**Dhirendra Maurya & Associates**  
**Company Secretaries**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS  
FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER 2020**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Shardul Securities Limited** (CIN: L50100MH1985PLC036937) maintained by *Link Intime India Private Limited* pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April 2020 to 30<sup>th</sup> September 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by, **Shardul Securities Limited** (CIN: L50100MH1985PLC036937) and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on 30<sup>th</sup> September 2020.

i) Share Certificate(s) relating to the Share Transfer form(s) received during the period from 1<sup>st</sup> April 2020 to 30<sup>th</sup> September 2020 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form excepting those delayed on technical grounds.

ii) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment.

Regards,  
**Dhirendra Maurya & Associates**  
Company Secretaries

**Dhirendra R. Maurya**  
Proprietor  
ACS: 22005, CP: 9594

**UDIN: A022005B001077158**

**Place: Mumbai**  
**Date: 27<sup>th</sup> October 2020**