

UCC Batch Upload file format

The Input file format is given below in detail and the following information is uploaded into the system during the processing of this file:

Source	BAT – Upload UCC Records
Destination	UCC Database
File Type	.txt
No. of Fields	112
Field delimiter	(pipe character)
File Naming Convention	Should be an .txt file (Name of the file can be any Name)
Validation	File should contain 111 (pipes)

The file format consists of pipe separated two rows. The first row consists of general information applicable to all the client types. However, the second row will be applicable only if the user needs to enter the Director details, if any. **Hence, if the client code is of client type INDIVIDUAL and INSTITUTION then the user need not submit the second row.**

First row consists of General *Information* applicable for all client types.

Sl. No.	Field Description	Data Type	Mandatory/ Optional	Expected Values and Validations
1	New/Modify	Character(1)	M	Values : N=NEW M=MODIFY
2	Bat User	Alphanumeric(10)	O	Name of the BAT user who creates the client code.
3	Client Type	Character(3)	M	Values : I = INDIVIDUAL NI = NON-INDIVIDUAL INS = INSTITUTION
4	Client Status	Character(2)	M	Values : OW = OWN CL = CLIENT IN = INSTITUTION Validations : If Client Type is INDIVIDUAL then this field will only accept 'OW' and 'CL' status. If Client Type is NON-INDIVIDUAL then this field will only accept 'OW' and 'CL' status. If Client Type is INSTITUTION then this field will only accept 'IN'
5	Client Category	Character(6)	M	**As per table given below.

6	Client Code	Alphanumeric(11)	M	Following special characters are only allowed : . = DOT * = ASTERISKS \ = BACK SLASH / = FRONT SLASH - = HYPEN
7	Pan Number	Alphanumeric(10)	M	
8	Whether Politically Exposed Person	Character(1)	M	Values : Y = YES N = NO Validations : Not applicable for Client Type INSTITUTION, hence, user can leave blank in the batch upload file.
9	Permanent Address	Alphanumeric(150)	M	Following special characters are allowed : @, #, \$, &, *, (,), -, +, =, {, }, [,], /, \, :, ", , (comma), ., space
10	Permanent Address same as Correspondence Address	Character(1)	M	Values : Y = YES N = NO
11	Correspondence Address	Alphanumeric(150)	M	If Permanent address is same as correspondence address then user needs to provide the same value entered in Correspondence Address field. Following special characters are allowed : @, #, \$, &, *, (,), -, +, =, {, }, [,], /, \, :, ", , (comma), ., space
12	Country	Character(25)	M	User selects 'INDIA' and for other than Indian country user enters the Country name.
13	State	Alphanumeric(25)	M	If Country selected is 'INDIA', State-City master to be used, else user enters State name of the Country entered.
14	City	Alphanumeric(25)	M	If Country selected is 'INDIA', State-City master to be used, else user can enter City name of the State entered.

15	Pincode	Alphanumeric(10)	M/O	Mandatory if Country selected is INDIA, else Optional
16	Types Of Service	Numeric(1)	M	Values : 1 = SMS 2 = EMAIL 3 = SMS & EMAIL 4 = NONE
17	Contact Details	Numeric(1)	M	Values : 1 = TELEPHONE 2 = MOBILE 3 = BOTH
18	Email	Alphanumeric(50)	M	Mandatory
19	Mobile Number	Numeric(17)	M/O	Mandatory if Client Type is Individual or Non Individual, Type of service is 1 or 3, Contact Details is 2 or 3 or Power of Attorney(POA) is 'Y' else Optional <i>Accepts mobile numbers starting from 0 to 9. However, in case of mobile starting from '0', system will accept preceding four '0's.</i> In case the country is India the mobile no. field should be of length 10 digits. In case of country other than India the mobile no. field should allow up to 17 digits.
20	STD/ISD code	Numeric(10)	M/O	Mandatory if Contact Details is selected as 1 & 3 else Optional
21	Phone No.	Numeric(15)	M/O	Mandatory if Contact Details is 1 & 3, else Optional
22	Equity CP Code	Alphanumeric(14)	O	
23	Equity CM ID	Numeric(5)	O	
24	FNO CP Code	Alphanumeric(14)	O	
25	FNO CM ID	Numeric(5)	O	
26	Depository Name1	Character(4)	M/O	Mandatory for INDIVIDUAL/NON-INDIVIDUALS, Optional for INSTITUTIONS. Values : CDSL / NSDL

27	Depository ID1	Alphanumeric(50)	M/O	<p>Mandatory for INDIVIDUAL/NON-INDIVIDUALS, Optional for INSTITUTIONS.</p> <p>This field will have length of 8 Alphanumeric characters.</p> <p>a. IN case Depository Name is selected as NSDL - this field will start with "IN" followed by 6 digits number</p> <p>b. In case Depository Name is selected as CDSL - No input</p>
28	Depository Participant1	Alphanumeric(50)	M/O	<p>Mandatory for INDIVIDUAL/NON-INDIVIDUALS, Optional for INSTITUTIONS.</p> <p>Following special characters are allowed :</p> <p>@ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space</p>
29	Depository Name2	Character(4)	O	
30	Depository ID 2	Alphanumeric(50)	O	<p>This field will have length of 8 Alphanumeric characters.</p> <p>a. IN case Depository Name is selected as NSDL - this field will start with "IN" followed by 6 digits number</p> <p>b. In case Depository Name is selected as CDSL - No input</p>
31	Depository Participant2	Alphanumeric(50)	O	<p>Following special characters are allowed :</p> <p>@ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space</p>
32	Depository Name3	Character(4)	O	
33	Depository ID 3	Alphanumeric(50)	O	<p>This field will have length of 8 Alphanumeric characters.</p> <p>a. IN case Depository Name is selected as NSDL - this field will start with "IN" followed by 6 digits number</p> <p>b. In case Depository Name is selected as CDSL - No input</p>

34	Depository Participant3	Alphanumeric(50)	O	Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
35	Bank Name1	Character(50)	M/O	Mandatory for INDIVIDUAL/NON-INDIVIDUALS, Optional for INSTITUTIONS. Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
36	Account No1	Alphanumeric(20)	M/O	Mandatory for INDIVIDUAL/NON-INDIVIDUALS, Optional for INSTITUTIONS. <i>Accepts hyphen (-) as special character.</i>
37	Branch Address1	Alphanumeric(150)	M/O	Mandatory for INDIVIDUAL/NON-INDIVIDUALS, Optional for INSTITUTIONS. Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
38	Bank Name2	Character(50)	O	
39	Account No2	Alphanumeric(20)	O	
40	Branch Address2	Alphanumeric(150)	O	
41	Bank Name3	Character(50)	O	
42	Account No3	Alphanumeric(20)	O	
43	Branch Address3	Alphanumeric(150)	O	
44	Client Agreement Date / Account Opening Date	Alphanumeric(10)	O	Format "DD/MM/YYYY" Future date not allowed
45	Provide Details For INCOME/NETWORTH/BOTH	Numeric(1)	M	Values : (drop down) 1 = INCOME 3 = BOTH INCOME & NETWORTH Validations : Mandatory for Client Type for INDIVIDUAL and NON-INDIVIDUAL. However for Client Type INSTITUTION, the user can keep blank or '0' in the batch upload file.
46	Income	Numeric(1)	M/O	Mandatory for Client Types

				<p>INDIVIDUALS and NON-INDIVIDUALS .</p> <p>Values (INDIVIDUALS): 1 = Below Rs 1 Lac 2 = 1-5 Lac 3 = 5-10 Lac 4 = 10-25 Lac 5 = > 25 Lacs</p> <p>Values (NON-INDIVIDUALS): 1 = Below Rs 1 Lac 2 = 1-5 Lac 3 = 5-10 Lac 4 = 10-25 Lac 5 = 25 Lacs to 1 Crore 6 = > 1 Crore</p> <p>As it is not applicable for Client Type INSTITUTION, the user can keep blank or '0' in the batch upload file.</p>
47	Income Date	Alphanumeric(10)	M/O	<p>Mandatory for Client Types INDIVIDUALS and NON-INDIVIDUALS.</p> <p>Format “DD/MM/YYYY”</p> <p>Future date not allowed.</p> <p>Income date should not be older than 1 year.</p>
48	Net worth	Numeric(14)	M/O	<p>Mandatory for Client Types INDIVIDUALS and NON-INDIVIDUALS and if user selects 'Provide Details for' as '3'(BOTH INCOME & NETWORTH), else Optional.</p> <p><i>(Net worth not older than 1 year should be reported)</i></p>
49	Net worth Date	Alphanumeric(10)	M/O	<p>Mandatory for Client Types INDIVIDUALS and NON-INDIVIDUALS and if user selects 'Provide Details for' as '3'(BOTH INCOME & NETWORTH), else Optional.</p> <p>Format “DD/MM/YYYY”</p> <p>Future date not allowed.</p> <p>Net worth date should not be older than 1 year.</p>
50	Is Active	Character(1)	M	<p>Values :</p> <p>Y = YES N = NO C = CLOSE</p>

51	Reason for modifying Status and Category	Character(100)	M/O	Mandatory if the users modifies Client Status/Client Category, else Optional. Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
52	First Name	Alphanumeric(50)	O	Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
53	Middle Name / Name of FII/Schemes	Alphanumeric(50)	O	Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
54	Last Name	Alphanumeric(50)	M/O	Mandatory for Client Type INDIVIDUALS, else Optional Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
55	Aadhar Card No	Numeric(12)	O	
56	Date Of Birth	Alphanumeric(10)	M/O	Mandatory for client category INDIVIDUALS, else Optional Format "DD/MM/YYYY" Future date not allowed.
57	Client Name	Alphanumeric(150)	M/O	Mandatory for Client Type NON-INDIVIDUALS/INSTITUTIONS, else Optional Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
58	Registration No	Alphanumeric(50)	M/O	Mandatory for FPI category else Optional
59	Registration Authority /DDP	Character(50)	M/O	Mandatory for FPI category else Optional Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space

				space
60	Registration Date	Alphanumeric(10)	M/O	Mandatory for Client Type NON-INDIVIDUALS or Category FPI, else Optional Format “DD/MM/YYYY” Future date not allowed.
61	Place Of Registration	Character(50)	O	Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
62	Whether Corporate CIN?	Character(1)	M	Mandatory for NON-INDIVIDUAL and INSTITUTIONAL Client (For category ‘BCO’ by default ‘Y’) Values : (drop down) Y = YES N = NO
63	CIN No	Alphanumeric(21)	M/O	Mandatory for Client Category ‘BCO’ or if user select ‘Whether Corporate CIN?’ as ‘Y’, else Optional
64	No Of Directors	Numeric(2)	M/O	Mandatory for client category ‘BCO’ or if user selects ‘Whether Corporate CIN? As ‘Y’ (YES) in case of client type NON-INDIVIDUAL , then user may give maximum 20 directors details of which 2 director details are compulsory, else Optional. Example 1 : if the user does not want to provide additional director details other than the 2 compulsory director details, this column can be kept blank, the system will automatically take it as ‘2’. Example 2 : if the user wants to provide 2 additional directors details in addition to the 2 compulsory director details, the user needs to provide ‘4’ in this column.
65	Partners Karta UID	Alphanumeric(10)	O	If the client category is HUF/PF, then user may fill this field
66	Partners Co-Parcener UID	Alphanumeric(10)	O	If the client category is HUF/PF, then user may fill this field

67	Contact Person Name1	Alphanumeric (50)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space Optional in case of category FPI-1, FPI-2
68	Contact Person Designation1	Character(50)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Optional in case of category FPI-1, FPI-2
69	Contact Person Address1	Alphanumeric(150)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space Optional in case of category FPI-1, FPI-2
70	Contact Person Email1	Alphanumeric (50)	O	
71	Contact Person PAN1	Alphanumeric(10)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Optional in case of category FPI-1, FPI-2. In case the contact person is foreign resident then stock broker may enter PAN as "AAAAA7777A".
72	Contact Person Tel/Mob No1	Numeric(10)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Optional in case of category FPI-1, FPI-2
73	Contact Person Name2	Alphanumeric(50)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Optional in case of category FPI-1, FPI-2
74	Contact Person Designation2	Character(50)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Optional in case of category FPI-1, FPI-2
75	Contact Person Address2	Alphanumeric(150)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Optional in case of category FPI-1,

				FPI-2
76	Contact Person Email2	Alphanumeric (50)	O	
77	Contact Person PAN2	Alphanumeric(10)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Optional in case of category FPI-1, FPI-2 Isxxxxxxxx case the contact person is foreign resident then stock broker may enter PAN as "AAAAA7777A".
78	Contact Person Tel/Mob No2	Numeric(10)	M/O	Mandatory if Client Type is NON-INDIVIDUAL Optional in case of category FPI-1, FPI-2
79	CASH	CHAR(1)	M/O	for CASH segment Values : Yes (Y) / No (N)
80	EQUITY DERIVATIVES	CHAR(1)	M/O	for FNO segment Values : Yes (Y) / No (N)
81	SLB	CHAR(1)	M/O	for SLB segment Values : Yes (Y) / No (N)
82	CURRENCY DERIVATIVES	CHAR(1)	M/O	for CURRENCY segment Values : Yes (Y) / No (N)
83	DEBT	CHAR(1)	M/O	for DEBT segment Values : Yes (Y) / No (N)
84	Power of Attorney (POA)	CHAR(1)	M	Values : Yes (Y) / No (N) If the user select POA as 'Y' then the MobileNumber field at Sl.No.19 is mandatory.
85	POA for Funds	CHAR(1)	M	Values : Yes (Y) / No (N)
86	POA for Securities	CHAR(1)	M	Values : Yes (Y) / No (N)
87	Date for POA funds	Alphanumeric(10)	M/O	Format "DD/MM/YYYY" Future date not allowed.
88	Date for POA Securities	Alphanumeric(10)	M/O	Format "DD/MM/YYYY" Future date not allowed.
89	Country (Permanent Address)	Character(25)	M	User selects 'INDIA' and for other than Indian country user enters the Country name.
90	State (Permanent Address)	Alphanumeric(25)	M	If Country selected is 'INDIA', State-City master to be used, else user enters State name of the Country entered.
91	City (Permanent Address)	Alphanumeric(25)	M	If Country selected is 'INDIA', State-City master to be used, else user can enter City name of the State entered.
92	Pincode (Permanent Address)	Alphanumeric(10)	M/O	Mandatory if Country selected is INDIA, else Optional

93	Currency CP Code	Alphanumeric(14)	O	
94	Currency CM ID	Numeric(5)	O	
95	Enrollment No	Character (16)	O	To be filled in case the client has not received the Aadhar
96	Commodity	Char(1)	M/O	for Commodity segment Values : Yes (Y) / No (N)
97	Nomination Detail	Char(1)	M	Opted for Nomination/ Opted out of Nomination Mandatory if client type is "Individual" <i>Values : Opted for Nomination (Y) / Opted out of Nomination (N)</i>
98	Custodian_PANNO	Varchar (10)	M/O	Custodian's PAN Mandatory for following cases : a. if client type is "Institution" b. In case of clients other than "Institutions" if settled through Custodians Optional for following case : a. In case of clients other than "Institutions" not settled through Custodians
99	Custodian_Name	Varchar 150	M/O	Custodian's Name Mandatory for following cases : a. if client type is "Institution" b. In case of clients other than "Institutions" if settled through Custodians Optional for following case : a. In case of clients other than "Institutions" not settled through Custodians
100	Custodian_Address	Varchar 150	M/O	Custodian's Address Mandatory for following cases : a. if client type is "Institution" b. In case of clients other than "Institutions" if settled through Custodians Optional for following case : a. In case of clients other than "Institutions" not settled through Custodians

101	Custodian_Country	Varchar 25	M/O	<p>Custodian's Country</p> <p>Mandatory for following cases :</p> <p>a. if client type is "Institution"</p> <p>b. In case of clients other than "Institutions" if settled through Custodians</p> <p>Optional for following case :</p> <p>a. In case of clients other than "Institutions" not settled through Custodians</p>
102	Custodian_State	Varchar 25	M/O	<p>Custodian's State</p> <p>Mandatory for following cases :</p> <p>a. if client type is "Institution"</p> <p>b. In case of clients other than "Institutions" if settled through Custodians</p> <p>Optional for following case :</p> <p>a. In case of clients other than "Institutions" not settled through Custodians</p>
103	Custodian_City	Varchar 25	M/O	<p>Custodian's City</p> <p>Mandatory for following cases :</p> <p>a. if client type is "Institution"</p> <p>b. In case of clients other than "Institutions" if settled through Custodians</p> <p>Optional for following case :</p> <p>a. In case of clients other than "Institutions" not settled through Custodians</p>
104	Custodian_PinCode	Varchar 10	M/O	<p>Custodian's PinCode</p> <p>Mandatory for following cases :</p> <p>a. if client type is "Institution"</p> <p>b. In case of clients other than "Institutions" if settled through Custodians</p> <p>Optional for following case :</p> <p>a. In case of clients other than "Institutions" not settled through Custodians</p>
105	Custodian_MobileNumber	Varchar 17	M/O	Custodian's MobileNumber

				<p>Either Mobile No or Phone Number is mandatory.</p> <p>Mandatory for following cases :</p> <p>a. if client type is “Institution”</p> <p>b. In case of clients other than “Institutions” if settled through Custodians</p> <p>Optional for following case :</p> <p>a. In case of clients other than “Institutions” not settled through Custodians</p>
106	Custodian_STDCode	Varchar 10	M/O	<p>Custodian’s STDCode</p> <p>Either Mobile No or STD & Phone Number is mandatory.</p> <p>Mandatory if Phone Number is given</p> <p>Mandatory for following cases :</p> <p>a. if client type is “Institution”</p> <p>b. In case of clients other than “Institutions” if settled through Custodians</p> <p>Optional for following case :</p> <p>a. In case of clients other than “Institutions” not settled through Custodians</p>
107	Custodian_PhoneNumber	Varchar 15	M/O	<p>Custodian’s PhoneNumber</p> <p>Either Mobile No or STD & Phone Number is mandatory.</p> <p>Mandatory if STD Code is given.</p> <p>Mandatory for following cases :</p> <p>a. if client type is “Institution”</p> <p>b. In case of clients other than “Institutions” if settled through Custodians</p> <p>Optional for following case :</p> <p>a. In case of clients other than “Institutions” not settled through Custodians</p>
108	Custodian_Email	Varchar 150	M/O	<p>Custodian’s Email.</p> <p>Mandatory for following cases :</p> <p>a. if client type is “Institution”</p> <p>b. In case of clients other than “Institutions” if settled through</p>

				Custodians Optional for following case : a. In case of clients other than “Institutions” not settled through Custodians
109	EGR(Electronic Gold Receipt)	Bit	0	for EGR segment Values : Yes (Y) / No (N)
110	Beneficial Owner Account No. 1	Varchar 20	M/O	Beneficial Owner Account No. 1 Mandatory for INDIVIDUAL/NON- INDIVIDUALS, Optional for INSTITUTIONS. a. In case Depository Name is selected as NSDL This field allow only to enter 8 digits b. In case Depository Name is selected as CDSL : This field will allow only to enter 16 digits start with “1” only.
111	Beneficial Owner Account No. 2	Varchar 20	0	Beneficial Owner Account No. 2 a. In case Depository Name is selected as NSDL This field allow only to enter 8 digits b. In case Depository Name is selected as CDSL : This field will allow only to enter 16 digits start with “1” only.
112	Beneficial Owner Account No. 3	Varchar 20	0	Beneficial Owner Account No. 3 a. In case Depository Name is selected as NSDL This field allow only to enter 8 digits b. In case Depository Name is selected as CDSL : This field will allow only to enter 16 digits start with “1” only.

* At least one segment needs to be selected by the user

The second row format is mandatory in case of NON-INDIVIDUAL client type, else not required.

The user needs to provide a maximum of 20 directors details of which 2 director details are compulsory in the below mentioned format along with the first row format given above in case of the category BCO. User should ensure that the 'No. of Directors' field entered in the first row should match with the number of rows below the general row. In case of other categories under the client type Non-Individual, the user needs to provide the details of authorized person.

Sl. No.	Field Description	Data Type	Mandatory/ Optional	Expected Values and Validations
1	NR/DR	Character(2)	M	Values : NR=New Record DR=Delete Record
2	Client Code	Alphanumeric(11)	M	
3	Name of Director	Character(50)	M	Mandatory for client category 'BCO' or if user selects 'Whether Corporate CIN?' as 'Y' (YES) in case of client type NON-INDIVIDUAL Following special characters are allowed : @ , # , \$, & , * , (,) , - , + , = , { , } , [,] , / , \ , : , " , , (comma) , . , space
4	DIN	Numeric(10)	M	Mandatory for client category 'BCO' or if user selects 'Whether Corporate CIN?' as 'Y' (YES) in case of client type NON-INDIVIDUAL
5	Whether Foreign Resident?	Character(1)	M	Mandatory for client category 'BCO' or if user selects 'Whether Corporate CIN?' as 'Y' (YES) in case of client type NON-INDIVIDUAL Values : Y = YES N = NO
6	Director Pan No	Alphanumeric(10)	M/O	Mandatory if user selects 'Whether Foreign Resident?' as 'No'.
7	Designation/Capacity of Authorised person	Character(50)	M	Drop down • PARTNER • KARTA • DIRECTOR • TRUSTEE/MEMBER • PERSON AUTHORIZED (For orders)
8	Whether authorized to give orders?	Character(1)	M	Values : Y = YES N = NO
9	Address of authorised person	Character (255)	O	
10	UID of Authorised person	Character (12)	O	
11	Enrollment no. of Authorised	Character (16)	O	

	person			
12	E-mail id of authorized person	Character (60)	0	
13	Contact details of authorized person	Numeric (17)	0	

****Table showing list of Client Categories as per their Client Status and Client Type.**

Client Type	Client Status	Client Category	Category Description
INDIVIDUAL (I)	CLIENT & OWN	I	INDIVIDUALS
INDIVIDUAL (I)	CLIENT	NRI	NON-RESIDENT INDIANS
INDIVIDUAL (I)	CLIENT	HNI	HIGH NETWORTH INDIVIDUALS
INDIVIDUAL (I)	CLIENT	FN	FOREIGN NATIONALS
INDIVIDUAL (I)	CLIENT	PMSI	PORTFOLIO MANGT SERVICES – INDIVIDUAL
INDIVIDUAL (I)	CLIENT	QFII	QUALIFIED FOREIGN INVEST. – INDIVIDUAL
INDIVIDUAL (I)	CLIENT	FDI/DR	FOREIGN DIRECT INVESTMENT / DEP. RECEIPTS
INDIVIDUAL (I)	CLIENT	FPI-1	FOREIGN PORTFOLIO INVESTOR – 1
INDIVIDUAL (I)	CLIENT	FPI-2	FOREIGN PORTFOLIO INVESTOR – 2
NON-INDIVIDUAL (NI)	CLIENT & OWN	BCO	BODY CORPORATES
NON-INDIVIDUAL (NI)	CLIENT & OWN	O	OTHERS
NON-INDIVIDUAL (NI)	CLIENT & OWN	PF	PARTNERSHIP FIRMS
NON-INDIVIDUAL (NI)	CLIENT & OWN	LLP	LIMITED LIABILITY PARTNERSHIPS
NON-INDIVIDUAL (NI)	CLIENT	PMSN	PORTFOLIO MANGT SERVICES - NON-INDIVIDUAL
NON-INDIVIDUAL (NI)	CLIENT	QFIN	QUALIFIED FOREIGN INVEST. - NON-INDIVIDUAL
NON-INDIVIDUAL (NI)	CLIENT	AOP	ASSOCIATION OF PERSONS
NON-INDIVIDUAL (NI)	CLIENT	FVCF	FOREIGN VENTURE CAPITAL FUNDS
NON-INDIVIDUAL (NI)	CLIENT	HUF	HINDU UNDIVIDED FAMILY
NON-INDIVIDUAL (NI)	CLIENT	MB	MERCHANT BANKERS
NON-INDIVIDUAL (NI)	CLIENT	T	TRUST
NON-INDIVIDUAL (NI)	CLIENT	BOI	BODY OF INDIVIDUALS
NON-INDIVIDUAL (NI)	CLIENT	NGO	NON-GOVERNMENT ORGANISATIONS
NON-INDIVIDUAL (NI)	CLIENT	VCF	VENTURE CAPITAL FUNDS
NON-INDIVIDUAL (NI)	CLIENT	DEF	DEFENSE ESTABLISHMENTS
NON-INDIVIDUAL (NI)	CLIENT	SOC	SOCIETY
NON-INDIVIDUAL (NI)	CLIENT	CH	CHARITIES
NON-INDIVIDUAL (NI)	CLIENT	STATBO	STATUTORY BODIES
NON-INDIVIDUAL (NI)	CLIENT	FDI/DR	FOREIGN DIRECT INVESTMENT / DEP. RECEIPTS
NON-INDIVIDUAL (NI)	CLIENT	FPI-1	FOREIGN PORTFOLIO INVESTOR – 1
NON-INDIVIDUAL (NI)	CLIENT	FPI-2	FOREIGN PORTFOLIO INVESTOR – 2
NON-INDIVIDUAL (NI)	CLIENT	AIF III	ALTERNATIVE INVESTMENT FUND III
INSTITUTION (INS)	INSTITUTION	B	BANKS
INSTITUTION (INS)	INSTITUTION	DFI	DOMESTIC FINANCIAL INSTITUTIONS
INSTITUTION (INS)	INSTITUTION	INS	INSURANCE
INSTITUTION (INS)	INSTITUTION	MF	MUTUAL FUNDS
INSTITUTION (INS)	INSTITUTION	NPS	NEW PENSION SCHEMES
INSTITUTION (INS)	INSTITUTION	FDI/DR	FOREIGN DIRECT INVESTMENT / DEP. RECEIPTS
INSTITUTION (INS)	INSTITUTION	FPI-1	FOREIGN PORTFOLIO INVESTOR – 1
INSTITUTION (INS)	INSTITUTION	FPI-2	FOREIGN PORTFOLIO INVESTOR – 2

FILE FORMAT WITH HEADERS

First Row (General)

NEW/MODIFY|BATUSER|CLIENTTYPE|CLIENTSTATUS| CLIENTCATEGORY| CLIENTCODE |
 PANNUMBER | WHETHERPOLITICALLYEXPOSEDPERSON|PERMANENTADDRESS
 |PERMANENTADDRESSSAME AS CORRESPONDENCE ADDRESS|CORRESPONDENCE
 ADDRESS|COUNTRY |STATE |CITY |PINCODE|TYPES OF SERVICE|CONTACT DETAILS | EMAIL
 |MOBILE NUMBER |STD CODE|PHONE NO|EQUITY CP CODE |EQUITY CM ID|FNO CP CODE |FNO
 CM ID | DEPOSITORY NAME1 | DEPOSITORY ID1 |DEPOSITORY PARTICIPANT1| DEPOSITORY NAME2
 | DEPOSITORY ID 2 |
 DEPOSITORY PARTICIPANT2|DEPOSITORY NAME3 | DEPOSITORY ID 3 |DEPOSITORY PARTICIPANT3 |
 BANK NAME1 | ACCOUNT NO1 |BRANCH ADDRESS1|BANK NAME2 |ACCOUNT NO2 |BRANCH
 ADDRESS2 | BANK NAME3 |ACCOUNT NO3 |BRANCH ADDRESS3 | CLIENT AGREEMENT DATE |
 PROVIDE DETAILS FOR INCOME/NETWORTH/BOTH|INCOME | INCOME DATE| NETWORTH
 |NETWORTH DATE |IS ACTIVE |REASON|FIRST NAME| MIDDLE NAME| LAST NAME |AADHAR CARD
 NO |DATE OF BIRTH |CLIENT NAME |REGISTRATION NO| REGISTRATION AUTHORITY|
 REGISTRATION DATE |PLACE OF REGISTRATION| WHETHER CORPORATE CIN?|CIN NO |NO OF
 DIRECTORS| PARTNERS_KARTA UID| PARTNERS-CO-PARCENER UID |CONTACT PERSON NAME1
 |CONTACT PERSON DESIGNATION1 | CONTACT PERSON ADDRESS1 |CONTACT PERSON EMAIL1
 |CONTACT PERSON PAN1 |
 CONTACT PERSON TEL/MOB NO1| CONTACT PERSON NAME2 |CONTACT PERSON DESIGNATION2 |
 CONTACT PERSON ADDRESS2 |CONTACT PERSON EMAIL2 |CONTACT PERSON PAN2 |CONTACT
 PERSON TEL/MOB NO2|CASH|EQUITY DERIVATIVE|SLB|CURRENCY DERIVATIVE|DEBT|POA FOR
 FUNDS OR SECURITIES| POA FOR FUNDS| POA FOR SECURITIES | DATE FOR POA FUNDS | DATE FOR
 POA SECURITIES | COUNTRY |STATE|CITY|PINCODE| CURRENCY CP CODE | CURRENCY CM ID|
 EnrollmentNumber|Commodity|NominationDetail|Custodian_PANNO|Custodian_Name|Custodian
 _Address|Custodian_Country|Custodian_State|Custodian_City|Custodian_PinCode|Custodian_Mo
 bileNumber|Custodian_STDCode|Custodian_PhoneNumber|Custodian_Email |EGR
 |BENEFICIAL_OWN_ACNT_NO_1|BENEFICIAL_OWN_ACNT_NO_2|
 BENEFICIAL_OWN_ACNT_NO_3

Second Row (Director Details)

NEW RECORD/DELETE RECORD |Client Code |Name of Director |DIN |Whether Foreign
 Resident?|DirectorPanNo|Designation|Authorised to give orders|Address | AadharCardNo
 |EnrollmentNumber|Emailid|Contact Number

Sample data File for data for general record.

N|||OW||803022|MNHJK9098I|N|kurla|N|kurla|INDIA|MAHARASHTRA|MUMBAI|400022|2|2|
 email123@gmail.com|9999888899|||||CDSL||bvjhfgjh|NSDL|IN123456|bse78347|NSDL|IN123
 564|e536thhh5cxggg|axis|90123564875963|chembur||||||1|2|02/03/2022|0.00||Y|||chawl
 a||13/06/1990|||||N||0|||||||N|Y|N|N|N|N|
 |||INDIA|MAHARASHTRA|MUMBAI|400021|||||N|N|Y|1023456789987456|12345678
 |12345678

Sample data file for data for NON-INDIVIDUAL where the user needs to give director details

N||NI|CL|BCO|CLIENT05|CVBFG6789U|N|bdytruy|Y|bdytruy|INDIA|MAHARASHTRA|MUMBAI|40
 0021|2|2|email8980@gmail.com|8889998899|||||||xyz|9898989565698989|shdgur||

|||||1|3|05/05/2022|0.00||Y|||||xyzinstituion||28/01/2020||Y|L21091KA2019OPC141331|
 2||client1|fhdgu|shyteyu|email789@gmail.com|HJKUI8909O|8888888888|client2|jhgdut|dgug
 uin|email23@gmail.com|GHBNJ8909I|9998889998|N|Y|N|N|N|N| |
 ||INDIA|MAHARASHTRA|MUMBAI|400021||||N|N|||||||N|||

NR|CLIENT05|PRAKASHKRISHNAN|1111211|N|ASDFR1234D|D|Y|Mumbai|123456789012|123456
 7890123456|name@gmail.com|9687451236