

# Holding of Specified Securities

## Annexure - I

1. Name of Listed Entity:	EARUM PHARMACEUTICALS LIMITED		
2. Scrip Code/Name of Scrip/Class of Security			
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
a. If under 31(1)(b) then indicate the report for Quarter ending	03-09-2021		
b. If under 31(1)(c) then indicate date of allotment/extinguishment			
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities ?		No
3	Whether the Listed Entity has issued any Warrants ?		No
4	Whether the Listed Entity has any shares against which depository receipts are issued?		No
5	Whether the Listed Entity has any shares in locked-in?	Yes	-
6	Whether any shares held by promoters are pledge or otherwise encumbered?		No
7	Whether company has equity shares with differential voting rights?		No
8	Whether the listed entity has any significant beneficial owner?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class Equity x	Class Others y									Total
(A)	Promoter & Promoter Group	5	8639764	0	0	8639764	70.038	8639764	0	8639764	70.0380	0	70.0380	2544000	29.4452	0	0.0000	8639764
(B)	Public	96	3696056	0	0	3696056	29.962	3696056	0	3696056	29.9620	0	29.9620	0	0.0000	0	0.0000	3696056
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C.1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C.2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	<b>Total</b>	<b>101</b>	<b>12335820</b>	<b>0</b>	<b>0</b>	<b>12335820</b>		<b>12335820</b>	<b>0</b>	<b>12335820</b>	<b>#####</b>	<b>0</b>	<b>100.0000</b>	<b>2544000</b>	<b>20.6229</b>			<b>12335820</b>



Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category & Name of the shareholders (I)	Entity Type	PAN (II)	No of Share holders (III)	No of fully paid up equity Shares held (IV)	Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (IV)+(V)+(VI)	Shareholding % calculate d as per SCRR, 19 57 As a % of (A+B+C2 ) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities( as a % of diluted share	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class Equity	Class Others									Total
<b>1 Indian</b>																			
<b>(a) Individuals/Hindu undivided Family</b>																			
BHUMISHTH NARENDRABHAI PATEL		AOEPP2107 F	1	3502660	0	0	3502660	28.3942	3502660	0	3502660	28.3942	0	28.3942	1272000	36.3153	0	0.0000	3502660
PAYAL BHUMISHTH PATEL		ATCPP0254	1	3502660	0	0	3502660	28.3942	3502660	0	3502660	28.3942	0	28.3942	1272000	36.3153	0	0.0000	3502660
Total			2	7005320	0	0	7005320	56.7884	7005320	0	7005320	56.7884	0	56.7884	2544000	36.3153	0	0.0000	7005320
<b>(b) Central Government/State Government(s)</b>																			
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>(c) Financial Institutions/Banks</b>																			
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>(d) Any Other(DIRECTORS RELATIVES)</b>																			
AUXILIA PHARMACEUTICALS		AADCE1164 F	1	28	0	0	28	0.0002	28	0	28	0.0002	0	0.0002	0	0.0000	0	0.0000	28
NARENDRAKUMAR GANGARAMDAS PATEL		ABHPP7019 A	1	817180	0	0	817180	6.6244	817180	0	817180	6.6244	0	6.6244	0	0.0000	0	0.0000	817180
SUSHILABEN NARENDRAKUMAR PATEL		ABIPP6131C	1	817236	0	0	817236	6.6249	817236	0	817236	6.6249	0	6.6249	0	0.0000	0	0.0000	817236
Total			3	1634444	0	0	1634444	13.2496	1634444	0	1634444	13.2496	0	13.2496	0	0.0000	0	0.0000	1634444
Sub-Total (A)1			5	8639764	0	0	8639764	70.0380	8639764	0	8639764	70.0380	0	70.0380	2544000	29.4452	0	0.0000	8639764
<b>2 Foreign</b>																			
<b>(a) Individuals(Non-Resident Individuals/Foreign Individuals)</b>																			
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>(c) Institutions</b>																			
Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub-Total (A)2			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoters			5	8639764	0	0	8639764	70.0380	8639764	0	8639764	70.0380	0	70.0380	2544000	29.4452	0	0.0000	8639764
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
Note:																			
(1) PAN would not be displayed on website of Stock Exchange(s).																			
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																			



Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	PAN (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)		
								Class Equity x	Class Others y									Total
<b>1 Institutions</b>																		
(a) Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f) Financial Institution/Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
SUB TOTAL (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
<b>2 Central / State government(s)</b>																		
(a) Central Government/ State Government(s)/President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
SUB TOTAL (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
<b>3 Non-institutions</b>																		
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		58	342028	0	0	342028	2.7726	342028	0	342028	2.7726	0	2.7726	0	0.0000	NA	NA	342028
(a) INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		24	3216028	0	0	3216028	26.0706	3216028	0	3216028	26.0706	0	26.0706	0	0.0000	NA	NA	3216028
ADITYA VIKRAMBHAI PATEL	BRIPP9780J	1	228000	0	0	228000	1.8483	228000	0	228000	1.8483	0	1.8483	0	0.0000	0	0	228000
CHANDRESH D PATEL	AVIPP3997J	1	234000	0	0	234000	1.8969	234000	0	234000	1.8969	0	1.8969	0	0.0000	0	0	234000
GUNVANTLAL NATHALAL PATEL	CWLPP4192F	1	138000	0	0	138000	1.1187	138000	0	138000	1.1187	0	1.1187	0	0.0000	0	0	138000
KAMALABEN GUNVANTLAL PATEL	CWLPP4185N	1	222000	0	0	222000	1.7996	222000	0	222000	1.7996	0	1.7996	0	0.0000	0	0	222000
NILAMBEN TARUNKUMAR	CHBPP9441A	1	144000	0	0	144000	1.1673	144000	0	144000	1.1673	0	1.1673	0	0.0000	0	0	144000
PRITI DINESHBHAI PATEL	BZVPP0909A	1	240000	0	0	240000	1.9456	240000	0	240000	1.9456	0	1.9456	0	0.0000	0	0	240000
SHASHIKANT VEDPRAKASH	BETPS9364B	1	729028	0	0	729028	5.9098	729028	0	729028	5.9098	0	5.9098	0	0.0000	0	0	729028
TARUNKUMAR GUNVANTLAL PATEL	CGBPP3983N	1	150000	0	0	150000	1.2160	150000	0	150000	1.2160	0	1.2160	0	0.0000	0	0	150000
VEDANT TARUNBHAI PATEL	FITPP2661A	1	225000	0	0	225000	1.8240	225000	0	225000	1.8240	0	1.8240	0	0.0000	0	0	225000
(b) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Any Other(BODIES CORPORATE)		2	9000	0	0	9000	0.0730	9000	0	9000	0.0730	0	0.0730	0	0.0000	NA	NA	9000
(e) Any Other(CLEARING MEMBER)		4	21000	0	0	21000	0.1702	21000	0	21000	0.1702	0	0.1702	0	0.0000	NA	NA	21000
(e) Any Other(HINDU UNDIVIDED FAMILY)		6	102000	0	0	102000	0.8269	102000	0	102000	0.8269	0	0.8269	0	0.0000	NA	NA	102000
(e) Any Other(NON RESIDENT INDIANS (REPAT))		2	6000	0	0	6000	0.0486	6000	0	6000	0.0486	0	0.0486	0	0.0000	NA	NA	6000
SUB TOTAL (B)(3)		96	3696056	0	0	3696056	29.9620	3696056	0	3696056	29.9620	0	29.9620	0	0.0000	NA	NA	3696056
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		96	3696056	0	0	3696056	29.9620	3696056	0	3696056	29.9620	0	29.9620	0	0.0000	0	NA	3696056

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.



Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	PAN (II)	No. of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No. s of shares underlying Depository Receipts (VI)	Total no. shares held (VII)= (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities( as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable)			
								Class Equity x	Class Others y									Total	
1 Custodian/DR Holder																			
		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
<b>Total Non Promoter- Non Public Shareholding (C)=(1)+(C)(2)</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
Note (1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.																			

