

Format of Holding of Specified securities

POST ISSUE
21.06.2021

1. Name of Listed Entity: SHYAM METALICS AND ENERGY LIMITED
2. Scrip Code/Name of Scrip/Class of Security: EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares		No
b Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c Whether the Listed Entity has any shares against which depository receipts are issued?		No
d Whether the Listed Entity has any shares in locked-in?	Yes	
e Whether any shares held by promoters are pledge or otherwise encumbered?		No
f Whether company has equity shares with differential voting rights?		No
g Whether the listed entity has any significant beneficial owner?	Yes	

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

For SHYAM METALICS AND ENERGY LIMITED

 Company Secretary

Table 1 - Summary Statement holding of specified securities

Category	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No. of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (As a % of (A+B+C2))	Number of Voting Rights in each class of securities		No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
							Class Equity Shares	Class N.A. Shares			No.	As a % of total Shares held		
(I)	(II)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	
(A) Promoter & Promoter Group	15	225374808	0	0	225374808	88.35	225374808	0	0	88.35	225374808	0	225374808	
(B) Public	232072	29705880	0	0	29705880	11.65	29705880	0	0	11.65	29705880	0	29705880	
(C) Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	
(C2) Shares under IIS/DRS	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	
(C2) Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	
Total:	232087	255080688	0	0	255080688	100.00	255080688	0	0	100.00	234196572	91.81	255080688	

For SHYAM METALICS AND ENGINEERING

Company Secretary

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of Shareholders			No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares held (IV+V+VI)	Shareholding as a % of total no of shares per SCOR, 1957 (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities	No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII+X) as a % of (A+B+C2)	Number of Locked in Shares	As a % of total Shares held	Number of Shares pledged or otherwise encumbered	As a % of total Shares held	Number of equity shares held in dematerialized form
			(III)	(IV)	(V)												
			(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	
(1)	Indian																
(a)	Individuals/Hindu undivided Family																
	BRI BHUSHAN AGARWAL	9	26840175	0	0	221853538	86.97	0	221853538	0	0	221853538	100.00	0	0.00	221853538	
	SMAY KUMAR AGARWAL	1	23284820	0	0	23284820	9.13	0	23284820	0	0	23284820	100.00	0	0.00	23284820	
	MAHABIR PRASAD AGARWAL	1	31580	0	0	31580	0.01	0	31580	0	0	31580	100.00	0	0.00	31580	
	BRI BHUSHAN AGARWAL & SONS (HUF)	1	2525	0	0	2525	0.00	0	2525	0	0	2525	100.00	0	0.00	2525	
	ANITA JHUNDUNWALA	1	2350000	0	0	2350000	0.88	0	2350000	0	0	2350000	100.00	0	0.00	2350000	
	SANGITA AGARWAL	1	45000	0	0	45000	0.02	0	45000	0	0	45000	100.00	0	0.00	45000	
	PARAGS LUL AGARWAL	1	45000	0	0	45000	0.02	0	45000	0	0	45000	100.00	0	0.00	45000	
	HRANSHU VIMAL AGARWAL	1	1133750	0	0	1133750	0.44	0	1133750	0	0	1133750	100.00	0	0.00	1133750	
	Central Government/State Government(s)																
(b)	Financial Institutions/Banks																
(c)	Any Other																
(d)	DORITE TRACON (P) LTD	6	19834633	0	0	3521250	1.38	0	3521250	0	0	3521250	100.00	0	0.00	3521250	
	NABANTAK DEALCOMM LIMITED	1	14216273	0	0	14216273	5.57	0	14216273	0	0	14216273	100.00	0	0.00	14216273	
	KALPATARU HOUSE FIN. AND TRADING PRIVATE LTD.	1	50893406	0	0	50893406	19.95	0	50893406	0	0	50893406	100.00	0	0.00	50893406	
	TOPLIGHT MERCANTILES PVT. LTD.	1	21402157	0	0	21402157	8.39	0	21402157	0	0	21402157	100.00	0	0.00	21402157	
	SURHAM CAPITAL PRIVATE LIMITED	1	698750	0	0	698750	0.27	0	698750	0	0	698750	100.00	0	0.00	698750	
	SURHAM BUILDWELL PVT. LTD.	1	40785620	0	0	40785620	15.99	0	40785620	0	0	40785620	100.00	0	0.00	40785620	
	Sub-Total (A.1)	15	70538427	0	0	70538427	27.65	0	70538427	0	0	70538427	100.00	0	0.00	70538427	
(2)	Foreign																
(a)	Individuals (Non-Resident Individuals)/Foreign																
(b)	Overseas Government																
(c)	Institutions																
(d)	Foreign Portfolio Investor																
(e)	Any Other																
	Sub-Total (A.2)	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	
	Total Shareholding of Promoter and Promoter Group (A.1)+(A.2)	15	225374808	0	0	225374808	88.35	0	225374808	0	0	225374808	100.00	0	0.00	225374808	

For SHYAM METALS AND ENERGY LIMITED

 Company Secretary

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares held (IV+V+VI)	Shareholding as a % of total no of shares (468-52)	Number of Voting Rights Class M.A. Shares	Total as a % of (44B-5C)	No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In Shares	As a % of total Shares held	Number of Shares pledged or otherwise encumbered	As a % of total Shares held	Number of equity shares held in dematerialized form
		(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)
1	Institutions															
	a) Mutual Funds	8	3603462	0	0	3603462	1.41	3603462	1.41	0	0	3006450	83.43	NA	NA	3603462
	b) Venture Capital Funds	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
	c) Alternative Investment Funds	15	1345943	0	0	1345943	0.53	1345943	0.53	0	0	980460	72.85	NA	NA	1345943
	d) Foreign Venture Capital Investors	34	6096517	0	0	6096517	2.39	6096517	2.39	0	0	4344579	71.33	NA	NA	6096517
	(a) Foreign Portfolio Investors	28	1803049	0	0	1803049	0.71	1803049	0.71	0	0	0	0.00	NA	NA	1803049
	(b) Financial Institutions/Banks	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
	(c) Insurance Companies	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
	(d) Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
	(e) Any Other	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
	(f) Sub Total (B) (1)		85	12843071	0	0	12843071	5.03	12843071	5.03	0	0	8331489	64.87	NA	NA
2	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
	Sub Total (B) (2)	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
3	Non-Institutions															
	Individual shareholders holding normal share capital up to Rs.2 lakhs	223816	12610936	0	0	12610936	4.94	12610936	4.94	0	0	0	0.00	NA	NA	12610936
4	Individual shareholders holding normal share capital in excess of Rs. 2 Lakhs	33	933613	0	0	933613	0.37	933613	0.37	0	0	0	0.00	NA	NA	933613
	NBFCs Registered with RBI	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
5	Employee Trusts	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
	Overseas Depositories (Holding 0%) (Balancing figure)	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	NA	NA	0
6	Any Other															
	TRUSTS	4	949	0	0	949	0.00	949	0.00	0	0	0	0.00	NA	NA	949
7	NON RESIDENT INDIANS	520	28670	0	0	28670	0.01	28670	0.01	0	0	0	0.00	NA	NA	28670
	Qualified Institutional Buyer	5	357247	0	0	357247	0.14	357247	0.14	0	0	326815	91.69	NA	NA	357247
8	BOODIES CORPORATES	168	7315070	0	0	7315070	0.91	7315070	0.91	0	0	163440	7.06	NA	NA	7315070
	P.U.F	7441	616374	0	0	616374	0.24	616374	0.24	0	0	0	0.00	NA	NA	616374
9	Sub Total (B) (3)	231987	16862809	0	0	16862809	6.61	16862809	6.61	0	0	490275	2.91	NA	NA	16862809
	Total Public Shareholding (B) = (B) (1) + (B) (2) + (B) (3)	232072	29705880	0	0	29705880	11.65	29705880	11.65	0	0	8821764	25.70	NA	NA	29705880

For SHYAM METALS AND ENERGY LIMITED

 Company Secretary

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares outstanding in Depository Receipts	Total No. of Shares Held (i)+(ii)+(iii)	Shareholding as a % of total no. of shares (A+B+C)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted Share capital)	No. of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								Class Equity Shares	Class N.A. Shares	Total					
1/	Creedians/DH Holder	(iii)	(iv)	(v)	(vi)	(vii)	(viii)			(ix)	(x)	(xi)	(xii)		(xiii)
2/	Employee Benefit Trust (under SEBI Share based Employee Benefit) Regulations 2014														
	Total Non-Promoter-Non Public Shareholding (C) =														
	(C)1 + (C)2														

For SHYAM METALS AND ENERGY LIMITED

S. J. S.

Company Secretary

Details of the shareholders acting as persons in Concert including their Shareholding:

Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

For SHYAM METALICS AND ENERGY LIMITED



Company Secretary

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,

No of Shareholders	No of shares
	0

For SHYAM METALICS AND ENERGY LIMITED

Company Secretary

Table V - Statement showing details of Significant Beneficial Owners (SBOs)

Sr. No	Details of the SBO (I)		Nationality	Details of the registered owner (II)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or				Date of creation / acquisition of significant beneficial interest# (IV)	
	Name	Nationality		Name	Nationality	Whether by virtue of:					
						Shares (%)	Voting Rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control		Exercise of significant influence
1	Brij Bhushan Agarwal	Indian	BRIJ BHUSHAN AGARWAL AND SONS HUF	Indian	10.01%	10.01%	10.01%	10.01%			11.12.2002

For SHYAM METALICS AND ENERGY LIMITED


Company Secretary