

## CP code Trades

In the Members Login, Clearing through ICCL

Path : FII Trades → View CP code Trades (Equity)

Members can view Exchange-wise all trades consisting of a valid “CP Code”. Confirmation / Pending status will be available for each trade. Once the custody confirms the status will change from Pending to Confirmed.

All trades which contains a valid CP code will be auto give-up to the respective custody based on CP code for confirmation.

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**View CP Code Trades(Equity)**

Exchange: All Member Id: B/S: ALL CP Code: Client Id: Order No: Trade No: CP Code Conf Status: ALL

View

| Sr No                                       | Sett No | Member Id | Scrip Id | Scrip Name | B/S | CP Code | Client Id | Order No | Trade No | Trade Time | Qty | Rate | EXCHANGE | Conf Status |
|---|---------|-----------|----------|------------|-----|---------|-----------|----------|----------|------------|-----|------|----------|-------------|
| No records found for this search. Try Again |         |           |          |            |     |         |           |          |          |            |     |      |          |             |

## CP code modification

In the Members Login, Clearing through ICCL

Path : FII Trades → CP code Modification for Trades (Equity)

All trades consisting of either a CP code or INST will be available for modification.

Types of Modification allowed using this menu:

- CP code to CP code
- CP code to INST
- CP code to CLIENT
- INST to CP code
- INST to CLIENT

Based on the modification done, Margins will be levied or not computed on that trade.

Modification will be allowed only on T day till the CP code modification end time.

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**BSE RTRMS** Users Trade Modification FII Trades OFS EQ OTB DEBT NCB-Gaacc CURRENCY Reports COMMODITY REPO CASH FNO Change Password ZT Logout

**CP Code Modification for Trades(Equity)**

Exchange: All Member Id: B/S: ALL CP Code: Client Id: Order No: Trade No: CP Code

View Excel

| Sr No                                       | Assign CPCode | Sett No | Member Id | Scrip Id | Scrip Name | B/S | CP Code | Client Id | Order No | Trade No | Trade Time | Qty | Rate | EXCHANGE |
|---|---------------|---------|-----------|----------|------------|-----|---------|-----------|----------|----------|------------|-----|------|----------|
| No records found for this search. Try Again |               |         |           |          |            |     |         |           |          |          |            |     |      |          |

## OTR Give-up

In the Members Login, Clearing through ICCL

Path : FII Trades → OTR Give-Up (Equity)

All trades which contains a generic CP code “INST” can be assigned a Valid CP code during the OTR process.

CP code assignment can be done through user input mentioned on the screen or can be done via file upload mechanism.

The screenshot shows the BSE RTRMS web application interface. The top navigation bar includes links for Users, Trade Modification, FII Trades, OF S, EQ, OTB, DEBT, NCB-Gaacc, CURRENCY, Reports, COMMODITY, REPO, CASH FNO, Change Password, and ZT, Logout. The main section is titled "OTR GiveUp(Equity)". It contains a form with fields for Exchange (SELECT), Sett No, Scrip Id, B/S (Buy/Sell), Deal Type (Others), CP Code, Trade Value(In Paise), and Qty. A "Save" button is present. Below this is a table with columns: Sr No, CT Id, Exchange, Cust Id, CP Code, Sett No, Scrip Id, Scrip Name, B/S, Qty, Trade Date, Trade Value, Delv Type, Deal Type, Status, and Last Comment. The table is currently empty, showing "No records found for this search. Try Again". Annotations with arrows point to the "Save" button, the "Upload" button, and the table area. Three text boxes provide instructions: "User to add the Exchange, CP code and other details and click on Save.", "User can upload file and then click on Verify.", and "Data will be available here."

Once the OTR data is added manually on the system interface / uploaded through a file by the user, the OTR no will be generated and this data will be available in the OTR Give Up (Equity) screen. Once the data is verified, the same will be sent to the custody for confirmation based on the CP code. The status will be updated to “Confirmed” / “Rejected” once the custody confirms / rejects the OTR.

The file upload format is already published in the circular.

### **OTR / CP Trade Take up (Equity)**

In the Custody’s Login, Clearing through ICCL

Path : FII Trades → OTR / CP Trade Take up (Equity)

In this window, all trades / OTR which contains a CP code which belongs to the custody will be available for Confirmation / Rejection.

Confirmation / Rejection can be done through system or by ISO file download and upload mechanism.

Custody shall be presented a consolidated Exchange-wise, scrip-wise, Buy/Sell, CP code level Quantity and Value for confirmation / rejection.

**BSE** RTFRMS

Users Trade Modification | Fill Trades O/S | EQ OTB | DEBT NCB-Gsec | CURRENCY Reports | COMMODITY REPO | CASH FMO Change Password | ZT Logout

OTR/CP Trade Takeup(Equity)

Sett No: 163 Member Id: Script Code: Confirmation Status: All View Excel Download ISO to Confirm List ISO files to Confirm Upload ISO

Confirm: Yes No Comment: 00-Accepted Confirm / Reject

| Sr No | Confirm All                         | CT Id     | Sett No | Member Id | Member Name                       | CP Code      | B/S | ISIN         | Script Code | Script Name                    | Cust Id | Qty  | Trade Date | Trade Value | Delv Type | Deal Type | Conf Status | Comment/Exchange |
|-------|-------------------------------------|-----------|---------|-----------|-----------------------------------|--------------|-----|--------------|-------------|--------------------------------|---------|------|------------|-------------|-----------|-----------|-------------|------------------|
| 1     | <input checked="" type="checkbox"/> | 200054727 | 163     | 9030      | BSE INTEROP TM- NSE RAPID CAPITAL | BSELT0123446 | S   | INE058A01026 | 500087      | CIPLA LTD.                     | 7750    | 2224 | 2020-11-20 | 1629747.20  | H         | Others    | Verified    | BSE              |
| 2     | <input type="checkbox"/>            | 200054728 | 163     | 9030      | BSE INTEROP TM- NSE RAPID CAPITAL | BSELT0123446 | S   | INE058A01026 | 500087      | CIPLA LTD.                     | 7750    | 372  | 2020-11-20 | 272601.60   | B         | Others    | Verified    | BSE              |
| 3     | <input type="checkbox"/>            | 200054741 | 163     | 9030      | BSE INTEROP TM- NSE RAPID CAPITAL | BSELT0123446 | S   | INE086A01014 | 501295      | INDUSTRIAL INVESTMENT TRUST LT | 7750    | 756  | 2020-11-20 | 38215.80    | H         | Others    | Verified    | BSE              |
| 4     | <input type="checkbox"/>            | 200054742 | 163     | 9030      | BSE INTEROP TM- NSE RAPID CAPITAL | BSELT0123448 | S   | INE086A01014 | 501295      | INDUSTRIAL INVESTMENT TRUST LT | 7750    | 132  | 2020-11-20 | 6672.60     | B         | Others    | Verified    | BSE              |
| 5     | <input type="checkbox"/>            | 200054752 | 163     | 9030      | BSE INTEROP TM- NSE RAPID CAPITAL | BSELT0123446 | S   | INE080B01012 | 512559      | KOHINOOR FOODS LTD.            | 7750    | 334  | 2020-11-20 | 3159.64     | H         | Others    | Verified    | BSE              |
| 6     | <input type="checkbox"/>            | 200054753 | 163     | 9030      | BSE INTEROP TM- NSE RAPID CAPITAL | BSELT0123448 | S   | INE080B01012 | 512559      | KOHINOOR FOODS LTD.            | 7750    | 56   | 2020-11-20 | 529.76      | B         | Others    | Verified    | BSE              |
| 7     | <input type="checkbox"/>            | 200054754 | 163     | 9030      | BSE INTEROP TM- NSE RAPID CAPITAL | BSELT0123448 | S   | INE080B01012 | 512559      | HOUSING DEVELOPMENT FINANCE    | 7750    | 10   | 2020-11-20 | 1000.00     | B         | Others    | Verified    | BSE              |

TOTAL RECORDS - 29

For ISO based confirmation / Rejections, User 1<sup>st</sup> needs to download the ISO file and then upload the ISO file for confirmation.

User can select single or multiple entries to do confirmation / rejection by selecting Confirm Yes /No and then clicking Confirm / Reject button .