

Post withnarp PAN

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity:	EARUM PHARMACEUTICALS LIMITED		
2. Scrip Code/Name of Scrip/Class of Security			
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
a. If under 31(1)(b) then indicate the report for Quarter ending	02-07-2019		
b. If under 31(1)(c) then indicate date of allotment/extinguishment			
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			

Particulars		
	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	-
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	-
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	-
4 Whether the Listed Entity has any shares in locked-in?	-	-
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-
	-	-

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES																		
Cate gory (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlyin g Depositor y Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholdin g as a % of total no. of shares (calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertibl e securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class Equity x	Class Others y	Total								
(A)	Promoter & Promoter Group	5	4319882	0	0	4319882	70.038	4319882	0	4319882	70.0380	0	70.0380	4319882	70.0380	0	0.0000	4319882
(B)	Public	330	1848028	0	0	1848028	29.962	1848028	0	1848028	29.9620	0	29.9620	28	0.0005	NA	NA	1848028
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	335	6167910	0	0	6167910		6167910	0	6167910	100.0000	0	100.0000	4319910	70.0385			6167910



	Category & Name of the shareholders (I)	No of Share holders (III)	No of fully paid up equity Shares held (IV)	Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class Equity x	Class Others y	Total								
1	Indian																	
(a)	Individuals/Hindu undivided Family																	
	BHUMISHTH NARENDRABHAI PATEL	1	1751330	0	0	1751330	28.3942	1751330	0	1751330	28.3942	0	28.3942	1751330	28.3942	0	0.0000	1751330
	PAYAL BHUMISHTH PATEL	1	1751330	0	0	1751330	28.3942	1751330	0	1751330	28.3942	0	28.3942	1751330	28.3942	0	0.0000	1751330
	Total	2	3502660	0	0	3502660	56.7884	3502660	0	3502660	56.7884	0	56.7884	3502660	56.7884	0	0.0000	3502660
(b)	Central Government/State Government(s)																	
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions/Banks																	
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other(DIRECTORS RELATIVES)																	
	AUXILIA PHARMACEUTICALS PRIVATE LIMITED	1	14	0	0	14	0.0002	14	0	14	0.0002	0	0.0002	14	0.0002	0	0.0000	14
	NARENDRAKUMAR GANGARAMDAS PATEL	1	408590	0	0	408590	6.6244	408590	0	408590	6.6244	0	6.6244	408590	6.6244	0	0.0000	408590
	SUSHILABEN NARENDRAKUMAR PATEL	1	408618	0	0	408618	6.6249	408618	0	408618	6.6249	0	6.6249	408618	6.6249	0	0.0000	408618
	Total	3	817222	0	0	817222	13.2496	817222	0	817222	13.2496	0	13.2496	817222	13.2496	0	0.0000	817222
	Sub-Total (A)(1)	5	4319882	0	0	4319882	70.0380	4319882	0	4319882	70.0380	0	70.0380	4319882	70.0380	0	0.0000	4319882
2	Foreign																	
(a)	Individuals(Non-Resident Individuals/Foreign Individuals)																	
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government																	
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions																	
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor																	
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub-Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	5	4319882	0	0	4319882	70.0380	4319882	0	4319882	70.0380	0	70.0380	4319882	70.0380	0	0.0000	4319882
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		
Note:																		
(1) PAN would not be displayed on website of Stock Exchange(s).																		
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																		



Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																	
Category & Name of the shareholders (I)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities(as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (b)
							Class Equity x	Class Others y	Total								
1 Institutions																	
(a) Mutual Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Foreign Portfolio Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f) Financial Institution/Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g) Insurance Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
SUB TOTAL (B)(1)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Central / State government(s)																	
(a) Central Government/ State Government(s)/President of India	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
SUB TOTAL (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3 Non-institutions																	
(a) 1) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	321	1038028	0	0	1038028	16.8295	1038028	0	1038028	16.8295	0	16.8295	28	0.0005	NA	NA	1038028
(a) 2) INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	699000	0	0	699000	11.3329	699000	0	699000	11.3329	0	11.3329	0	0.0000	NA	NA	699000
MOHIT PRAKASH JALAN	1	285000	0	0	285000	4.6207	285000	0	285000	4.6207	0	4.6207	0	0.0000	NA	NA	285000
Mr VISMAI SHAH	1	282000	0	0	282000	4.5721	282000	0	282000	4.5721	0	4.5721	0	0.0000	NA	NA	282000
(b) NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Employee Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Any Other(BODIES CORPORATE)	2	102000	0	0	102000	1.6537	102000	0	102000	1.6537	0	1.6537	0	0.0000	NA	NA	102000
HEM SECURITIES LIMITED HEM	1	96000	0	0	96000	1.5564	96000	0	96000	1.5564	0	1.5564	0	0.0000	NA	NA	96000
(e) Any Other(NON RESIDENT INDIANS (REPAT))	2	9000	0	0	9000	0.1459	9000	0	9000	0.1459	0	0.1459	0	0.0000	NA	NA	9000
SUB TOTAL (B)(3)	330	1848028	0	0	1848028	29.9620	1848028	0	1848028	29.9620	0	29.9620	28	0.0005	NA	NA	1848028
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	330	1848028	0	0	1848028	29.9620	1848028	0	1848028	29.9620	0	29.9620	28	0.0005	NA	NA	1848028
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0																	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	
Note:																	
(1) PAN would not be displayed on website of Stock Exchange(s).																	
(2) The above format needs to be disclosed along with the name of following persons:																	
Institutions/Non Institutions holding more than 1% of total number of shares.																	



Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER																		
Category & Name of the shareholders (I)	No. of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total no. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)=(VII)+(X) As a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
							Class Equity x	Class Others y	Total									
1 Custodian/DR Holder																		
Total Non Promoter-Non Public Shareholding (C)=(C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0
	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0
Note																		
(1) PAN would not be displayed on website of Stock Exchange(s).																		
(2) The above format needs to disclose bame of all holders holding more than 1% of total number of shares.																		
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.																		

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose bame of all holders holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

