

Format of holding of specified securities - ANNEXURE - I

- 1 Name of Listed Entity: ARYAN SHARES & STOCK BROKERS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : Equity
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending - 27/10/2018
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sl.No.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		YES
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

[Handwritten Signature]



TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category (i)	Category of Shareholder (ii)	Nos. of Shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+CZ)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) As a % of (A+B+CZ)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in demat or form (xiv)
								Class eg: X	Class eg: Y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)	
(A)	Promoter & Promoter Group	4	1579300	0	0	1579300	52.64	1579300	0	1579300	52.64	1579300	100.00	0	0	1579300	
(B)	Public	2513	1420700	0	0	1420700	47.36	1420700	0	1420700	47.36	0	0.00	0	0	314600	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	
(C.1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	
(C.2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	
	Total	2517	3000000	0	0	3000000	100.00	3000000	0	3000000	100.00	1579300	100	0	0	1893900	

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

(1)	(i) Category & Name of the Shareholders	(iii) No. of Shareholders	(iv) No. of fully paid up equity shares held	(v) Partly paid up equity shares held	(vi) No. of shares underlying Depository Receipts	(vii) Total nos. shares held (iv)+(v)+(vi)	(viii) Shareholding % calculated as per SCRR, 1957 (A+B+C2)	(ix) Number of Voting Rights held in each class of securities			(x) No. of Shares Underlying Outstanding convertible securities	(xi) Shareholding as a % assuming full conversion of convertible securities	(xii) Number of Locked in shares		(xiii) Number of Shares pledged or otherwise	(xiv) Number of equity shares held in dematerialized form		
								(x) Total as a % of Total Voting rights					No. (a)	As a % of total Shares held (b)			No. (a)	As a % of total Shares held (b)
								Class eg : X	Class eg : Y	Total								
(a)	Indian																	
	Individuals/Hindu undivided Family																	
	PARESH NAVIN SHAH	1	1117800	0	0	1117800	37.26	1117800	37.26	0	0.00	1117800	100	0	0.00	1117800		
	SHANMUKH NAVIN SHAH	1	436600	0	0	436600	14.55	436600	14.55	0	0.00	436600	100	0	0.00	436600		
	MANOJ NAVIN SHAH	1	20100	0	0	20100	0.67	20100	0.67	0	0.00	20100	100	0	0.00	20100		
	NISHA MANOJ SHAH	1	4800	0	0	4800	0.16	4800	0.16	0	0.00	4800	100	0	0.00	4800		
(b)	Central Government/ State Government(s)																	
(c)	Name (xyz...)																	
	Financial Institutions/ Banks																	
	Name (xyz...)																	
(d)	Any Other (specify)																	
	Name (xyz...)																	
(2)	Sub-Total (A)(1)	4	1579300	0	0	1579300	52.64	1579300	52.64	0	0.00	1579300	100	0	0.00	1579300		
	Foreign																	
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																	
	Name (xyz...)																	
(b)	Government																	
	Name (xyz...)																	
(c)	Institutions																	
	Name (xyz...)																	
(d)	Foreign Portfolio Investor																	
	Name (xyz...)																	
(f)	Any Other (specify)																	
	Name (xyz...)																	
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	4	1579300	0	0	1579300	52.64	1579300	52.64	0	0.00	1579300	100.00	0	0.00	1579300		
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (i)	No. of Shares (II)	No. of Shares held by paid-up equity shares held (IV)	No. of Shares held (V)	Total nos. shares held (VI) = (IV) + (V)	Shareholding % calculated as per SCRS, 1957 As a % of (A+B+C2) (VII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants, etc.)	Shareholding % as a % of total Shares	Number of Locked in shares (X)	Number of Shares pledged or subordinated (XI)	Number of equity shares held in dematerialized form (XII)
						Total as a % of Total Voting Rights							
						Class eg. X	Class eg. Y	Total					
(1) Institutions													
Mutual Funds/ Name (Y1)													
Venture Capital Funds Name (Y2)													
Alternate Investment Funds Name (Y3)													
Foreign Venture Capital Investors Name (Y4)													
Foreign Portfolio Investors Name (Y5)													
Financial Institutions/ Banks Name (Y6)													
Insurance Companies Name (Y7)													
Provident Funds/ Pension Funds Name (Y8)													
Any Other Name (Y9)													
Sub-Total (B1)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0
Central Government/ State Government(s)/ President of India Name (Y10)													
Sub-Total (B2)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0
(2) Non Institutions													
(a) Individuals - i. Individual shareholders holding normal share capital up to Rs. 2 lakhs, ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. Name (Z1)	2550	808100	0	808100	76.94	805300	0	805300	26.94	0	24.94	0	75500
Name (Z2)													
(b) NRFCs registered with RBI Name (Z3)													
Name (Z4)													
(c) Employee Trusts Name (Z5)													
Name (Z6)													
(d) Overseas Depositories (holding DRs) (Subsisting figure) Name (Z7)													
Name (Z8)													
(e) Any Other Name (Z9)													
(f) Other Bodies Corporate - i) Other Bodies Corporate Name (Z10)	8	27200	0	27200	0.91	30000	0	30000	0.91	0	0.91	0	6600
Name (Z11)													
ii) Other Bodies Corporate Name (Z12)	1	250000	0	250000	8.33	250000	0	250000	8.33	0	8.33	0	0
Name (Z13)													
iii) Other Bodies Corporate Name (Z14)	1	138000	0	138000	3.99	138000	0	138000	3.99	0	3.99	0	0
Name (Z15)													
iv) Other Bodies Corporate Name (Z16)	1	43300	0	43300	1.44	43300	0	43300	1.44	0	1.44	0	43300
Name (Z17)													
Sub-Total (B3)	2524	1420700	0	1420700	47.36	1420700	0	1420700	47.36	0	47.36	0	295700
Total Public Shareholding (B)	2524	1420700	0	1420700	47.36	1420700	0	1420700	47.36	0	47.36	0	295700
(B1) + (B2) + (B3)	2524	1420700	0	1420700	47.36	1420700	0	1420700	47.36	0	47.36	0	295700

(Details of the shareholders acting as persons in Control including their Shareholding (No. and %):

(Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in dematerialized suspense account, voting rights which are frozen etc.)

Note:

(1) PAN would not be displayed on website of Stock Exchanges.

(2) The term "Investor" has the same meaning as assigned under regulation 2(30) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) PAN would not be displayed on website of Stock Exchanges.

(4) The above format needs to be disclosed along with the name of following persons:

(i) Institution/Individuals holding more than 1% of total number of shares.

(ii) W.F.O. information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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Table W: Statement showing shareholding pattern of the Non Promoter Non Public shareholder

Sl. No.	Category & Name of the Shareholders (i)	Total No. of shares held (ii)	No. of shares fully paid up (iii)	No. of partly paid up shares (iv)	No. of shares under lock-in (v)	No. of shares held by Depository (vi)	Total No. of shares held (vii)	Depository No. of shares held (viii)	No. of shares held in demat (ix)	No. of shares held in physical form (x)	Total no. of shares held (xi)	No. of Shares (including Outstanding convertible Securities) Excluding Warrants (xii)	Total shareholding as a % including full conversion of convertible securities (on a percentage of diluted share capital) (xiii)	Number of Locked in shares (xiv)	Number of Shares pledged in otherwise unencumbered (xv)	Number of equity shares held in demat form (xvi)	
																	No. of Voting Rights (xvii)
(1)	Category/DPH Holder																
(a)	Name of DPH Holder (if available)																
(b)	abc...																
(c)	Employee Benefit Trust (under SEBI (Share based Employee Benefit))																
(d)	Name (s): Total Non-Promoter-Non Public Shareholding (C) ¹																

Note:

- (1) FOM would not be displayed on website of Stock Exchange(s)
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

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