Annexure

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1. Warning alerts modified as per updated ASM Framework

As per Exchange circular no. 20181027-1 dated October 27th, 2018 regarding updated Additional Surveillance Measure (ASM) framework, existing warning alerts displayed before order placement on ASM category scrips have been modified to display Long Term and Short Term ASM alerts as per circular.

These alerts shall be provided in addition to existing alert messages displayed for GSM / Unsolicited SMS / IRP (Insolvency Resolution Process) scrips.

2. Enhancement in scrip blocking feature using BOLT TWS Admin

Client Profiling and Trader Entitlement functionality introduced for ASM surveillance category in BOLT TWS Admin has been further enhanced and following new features has been introduced -

- Under the "Trader Entitlement & Client Master' functionality, scrip blocking feature is being enhanced to provide blocking for updated 'Long Term ASM (LT ASM)' and 'Short Term ASM (ST ASM)' surveillance framework levels.
- Process of scrip blocking will be similar to existing GSM/ Unsolicited SMS/ IRP (Insolvency Resolution Process) scrips blocking.
- Blocking information of scrips can be viewed in Scrip Profile -> Clients -> Click on "View Rights and Limits" button to view Trade Limit –> Advanced window of Trader terminal.
- Trader will not be able to place order after blocking scrips by admin.
- Option to export newly introduced ASM surveillance category scrip codes in CSV file from 'Scrip profiling' and 'Admin Scrip block' window is available.
- For blocking of newly introduced ASM surveillance category scrip codes upload facility through CSV file is provided in 'Admin's Scrip block' window'.
- Following 'Scrip/Group block/ Unblock' window is available under Trader Entitlement & Client Master functionality -

****End of Document****