

Annexure II: Bulk Upload File Formats for Trading Members, Depository Participants (DPs), Registrar and Share Transfer Agents (RTAs)

DEBT UPLOAD_FILE_FORMAT (New Upload & T+1 Upload)				
Field Name	Mandatory / Non-Mandatory	Alpha / Numeric	Character	Remark
Scrip Id	M	Alphanumeric	10	Symbol of the Company/IPO
Application No.	M	Alphanumeric	16	Application number of the Form
Category	M	Character	5	Client Status master values would remain constant over all the scrips i.e.FI,FII,IC,MF, OTH, NOH, CO, IND, EMP, SHA.
Applicant Name	NM	Alphanumeric	50	Client name as on the form
Depository	M	Alphanumeric	4	Depository i.e. NSDL or CDSL
DpID	M	Alphanumeric	8	If CDSL then 0 has to be inserted into the column. If NSDL Min(8) and Max(8)
ClientId/Benf.Id	M	Numeric	16	If CDSL then Min(16) and Max(16) If NSDL Min(8) and Max(8)
Quantity	M	Numeric	11	Quantity should always be in multiple of market lot
Cut off flag	M	Alphanumeric	1	(0-Zero)
Rate	M	Numeric	6.2	Bid price within the price range or has given in the parameter file in case of auction process
Amount Flag	M	Alphanumeric	1	Y means Yes, N Means No. If 'N' is selected amount field will be validated by Quantity * price. and if 'Y' is selected, then amount field will not be validated.
Amount	M	Numeric	12	Amount from Client
Filler	NM	Alphanumeric	9	Non Mandatory
Pan No	M	Alphanumeric	10	Pan No of the Client

Bank Name	M	Alphanumeric	6	SCSB on whose behalf the bid has been accepted. All ASBA Banks are eligible. RBI Bank Codes to be used for Batch upload
Location	M	Alphanumeric	6	Locations/Cities for which the Bids may be accepted by the members.
Account Number	NM	Alphanumeric	16	Account number of the Client.
Type	Int		1- Demat	Mandatory
Series	Int		Series associated with each Debt category.	Mandatory
Bid Id	M	Numeric	16	Bid Id is auto generated by the exchange of generation of response file will be 0(zero) only of action code is N
Action Code	M	Alphanumeric	1	Action code, i.e., “N” for new record, “M” for to be modified record and “D” for to delete records.

SUCCESS FILE_FORMAT				
Field Name	Mandatory / Non-Mandatory	Alpha / Numeric	Character	Remark
Scrip Id	M	Alphanumeric	10	Symbol of the Company/IPO
Application No.	M	Alphanumeric	16	Application number of the Form
Category	M	Character	5	Client Status master values would remain constant over all the scrips i.e.FI,FII,IC,MF, OTH, NOH, CO, IND, EMP, SHA.
Applicant Name	NM	Alphanumeric	50	Client name as on the form
Depository	M	Alphanumeric	4	Depository i.e. NSDL or CDSL
DpID	M	Alphanumeric	8	If CDSL then 0 has to be inserted into the column. If NSDL Min(8) and Max(8)
ClientId/Benf.Id	M	Numeric	16	If CDSL then Min(16) and Max(16) If NSDL Min(8) and Max(8)
Quantity	M	Numeric	11	Quantity should always be in multiple of market lot
Cut off flag	M	Alphanumeric	1	(0-Zero)
Rate	M	Numeric	6.2	Bid price within the price range or has given in the parameter file in case of auction process
Amount Flag	M	Alphanumeric	1	Y means Yes, N Means No. If 'N' is selected amount field will be validated by Quantity * price. and if 'Y' is selected, then amount field will not be validated.
Amount	M	Numeric	12	Amount from Client
Filler	NM	Alphanumeric	9	Non Mandatory
Pan No	M	Alphanumeric	10	Pan No of the Client
Bank Name	M	Alphanumeric	6	SCSB on whose behalf the bid has been accepted. All ASBA Banks are eligible. RBI Bank Codes to be used for Batch upload
Location	M	Alphanumeric	6	Locations/Cities for which the Bids may be accepted by the members.
Account Number	NM	Alphanumeric	16	Account number of the Client.

Type	Int		1- Demat	Mandatory
Series	Int		Series associated with each Debt category.	Mandatory
Bid Id	M	Numeric	16	Bid Id is auto generated by the exchange of generation of response file will be 0(zero) only of action code is N
Action Code	M	Alphanumeric	1	Action code, i.e., “N” for new record, “M” for to be modified record and “D” for to delete records.

ERROR/REJECTION/T+1_FILE_FORMAT

Field Name	Mandatory / non-Mandatory	Alpha / Numeric	Character	Remark
Scrip Id	M	Alphanumeric	10	Symbol of the Company/IPO
Application No.	M	Alphanumeric	16	Application number of the Form
Category	M	Character	5	Client Status master values would remain constant over all the scrips i.e.FI,FII,IC,MF, OTH, NOH, CO, IND, EMP, SHA.
Applicant Name	NM	Alphanumeric	50	Client name as on the form
Depository	M	Alphanumeric	4	Depository i.e. NSDL or CDSL
DpID	M	Alphanumeric	8	If CDSL then 0 has to be inserted into the column. If NSDL Min(8) and Max(8)
ClientId/Benf.Id	M	Numeric	16	If CDSL then Min(16) and Max(16) If NSDL Min(8) and Max(8)
Quantity	M	Numeric	11	Quantity should always be in multiple of market lot
Cut off flag	M	Alphanumeric	1	(0-Zero)
Rate	M	Numeric	6.2	Bid price within the price range or has given in the parameter file in case of auction process
Amount Flag	M	Alphanumeric	1	Y means Yes, N Means No. If 'N' is selected amount field will be validated by Quantity * price and if 'Y' is selected, then amount field will not be validated.
Amount	M	Numeric	12	Amount from Client
Filler	NM	Alphanumeric	9	Non Mandatory
Pan No	M	Alphanumeric	10	Pan No of the Client
Bank Name	M	Alphanumeric	6	SCSB on whose behalf the bid has been accepted. All ASBA Banks are eligible. RBI Bank Codes to be used for Batch upload
Location	M	Alphanumeric	6	Locations/Cities for which the Bids may be accepted by the members.
Account Number	NM	Alphanumeric	16	Account number of the Client.

Type	Int		1- Demat	Mandatory
Series	Int		Series associated with each Debt category.	Mandatory
Bid Id	M	Numeric	16	Bid Id is auto generated by the exchange of generation of response file will be 0(zero) only of action code is N
Action Code	M	Alphanumeric	1	Action code, i.e., “N” for new record, “M” for to be modified record and “D” for to delete records.
Error text	M	A	40	Gives detailed description of the error encountered during upload