

# FINANCIAL EXPRESS DATED NOVEMBER 15, 2019

## BSE LIMITED

Registered office: 25<sup>th</sup> Floor, P J Towers, Dalal Street, Mumbai – 400001  
Tel.: +91 (022) 2272 1233 / 34 • CIN: L67120MH2005PLC155188



### PUBLIC NOTICE

#### Inviting claims against WEALTH MANTRA LIMITED declared as defaulter

Further to Exchange notice no. 20180219-30 dated February 19, 2018 expelling WEALTH MANTRA LIMITED (Ctg No. 3088), the Exchange has also declared WEALTH MANTRA LIMITED as defaulter w.e.f. November 5, 2019 under Rules, Bye-laws and Regulations of the Exchange, since the clients claims exceeds the assets of the trading member available with the Exchange.

Investors having any outstanding claims against WEALTH MANTRA LIMITED are advised to file their claims with the Exchange, if they so desire, within 90 days from the date of issue of this notice ("specified period"), as provided under SEBI Circular No. MRD/DoP/SE/Cir – 38/2004 dated October 28, 2004, circular no. MRD/DP/06/2011 dated June 16, 2011, circular no. SEBI/HO/DMS/CIR/P/2017/15 dated February 23, 2017 and any other modifications/circular as may be issued by SEBI in this regard, from time to time.

The investors can file their claim against WEALTH MANTRA LIMITED at the concerned regional Investor Centre of BSE Ltd., the list of which is available on Exchange's website at the following link: [https://www.bseindia.com/static/investors/cac\\_tm.aspx](https://www.bseindia.com/static/investors/cac_tm.aspx)

The investors can also lodge their claims through Exchange's website under e-Complaint Registration, a link for which is given below:  
<https://bsecrs.bseindia.com/eclaimant/frmlInvestorHome.aspx>

The investors are hereby advised to go through the documentation requirement for filing their claim against defaulter, which is available on the Exchange website at following link:  
[http://www.bseindia.com/downloads1/Lodging\\_claim\\_against\\_Defaulter\\_Expelled\\_Member\\_Checklist.pdf](http://www.bseindia.com/downloads1/Lodging_claim_against_Defaulter_Expelled_Member_Checklist.pdf)

Further, the investors are hereby advised to go through the norms for eligibility of claims for compensation from IPF to the clients of the defaulter Member which are available on Exchange website at the following link:  
<https://www.bseindia.com/downloads1/Normseligibilityclaimsdefaultermember.pdf>

The eligible claims filed before the aforesaid specified period would be considered for compensation from the Investor Protection Fund (IPF), as per the provision of SEBI circular no. CIR/MRD/DP/28/2014 dated September 29, 2014 to the maximum extent of Rs. 15 Lacs per client. Further investors filing their claims after the specified period are required to provide reasons for delay in filing the claim and should satisfy the IPF that the claim could not have been filed before the specified period for the reasons beyond the control of the claimant.

Place: Mumbai  
Date : November 15, 2019

For BSE Limited  
Sd/-  
Chief General Manager  
Dept. of Investors Services