

BSE LIMITED

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Tel.: +91 (022) 2272 1233 / 34 • CIN: L67120MH2005PLC155188



PUBLIC NOTICE

Inviting claims against SUMPOORNA PORTFOLIO LIMITED declared as defaulter as well as expelled

This is to inform that pursuant to declaration of SUMPOORNA PORTFOLIO LIMITED as defaulter as well as its expulsion by NSE vide its circular no. 82/2020 dated December 15, 2020 w.e.f. December 14 2020, the Exchange has declared SUMPOORNA PORTFOLIO LIMITED (Ctg. No. 6404) a corporate trading member as defaulter, in terms of the provisions of SEBI Circular No. SEBI/MIRSD/Master Cir-04/2010 dated March 17, 2010 and also expelled the said Trading Member in compliance with the requirement of Circular No. F. No. 1/26/SE/91 dated August 12, 1991 issued by Ministry of Finance (Department of Economic Affairs), Government of India w.e.f. December 16, 2020.

Investors having any outstanding claims against SUMPOORNA PORTFOLIO LIMITED are advised to file their claims with the Exchange, if they so desire, within 90 days from the date of issue of this notice ("specified period"), as provided under SEBI Circular No. MRD/DoP/SE/Cir-38/2004 dated October 28, 2004, circular No. MRD/DP/06/2011 dated June 16, 2011, circular no. SEBI/HO/DMS/CIR/P/2017/15 dated February 23, 2017 and any other modifications/circulars as may be issue by SEBI in this regard, from time to time.

The investors can file their claim against SUMPOORNA PORTFOLIO LIMITED at the concerned regional Investor Centre of BSE Ltd., the list of which is available on Exchange's website at the following link:
https://www.bseindia.com/static/investors/cac_tm.aspx

The investors can also lodge their claims through Exchange's website under e-Complaint Registration, a link for which is given below:
<https://bseindia.com/ecomplaint/frminvestorhome.aspx>

The investors can also submit their claims alongwith documents on email id Claims.defaulter@bseindia.com

The investors are hereby advised to go through the documentation requirement for filing their claim against defaulter, which is available on the Exchange website at following link:

https://www.bseindia.com/downloads1/Lodging_claim_against_Defaulter_Expelled_Member_Checklist.pdf

Further, the investors are hereby advised to go through the norms for eligibility of claims for recommending for compensation from IPF to the clients of the Defaulter Member which are available on Exchange website at the following link:

<https://www.bseindia.com/downloads1/Normseligibilityclaimsdefaultermember.pdf>

FAQs on processing of investors claims against defaulter members are available on Exchange website at the following link:

<https://www.bseindia.com/downloads1/FAQonprocessingofinvestorsclaimsagainstDefaultermembers.pdf>

The eligible claims filed before the end of aforesaid specified period would be considered for compensation from the Investor Protection Fund (IPF), as per the provision of SEBI circular no. CIR/MRD/DP/28/2014 dated September 29, 2014 to the maximum extent of Rs.15 Lacs per client. Further, investors filing their claims after the specified period are required to provide reasons for delay in filing the claim and should satisfy the IPF that the claim could not have been filed before the end of specified period for the reasons beyond the control of the claimant.

Place: Mumbai
Date : December 24, 2020

For BSE Limited
Sd/-
General Manager
Dept. of Investors Services