

INVESTOR CLAIM AGAINST DEFAULTER / EXPELLED MEMBER
(ONLY FOR TRANSACTIONS EXECUTED ON BSE)
(Please state details in CAPITAL letters and submit in duplicate along
with two sets of relevant supporting documents)
Please read 'List of Enclosures Required'

Date:

BSE Limited

\$(Please send the form to the concerned Regional Centre of BSE.)

Name and Address of Investor:

Name of Investor: _____

Address: _____

Pan no. _____ City: _____ Pin Code: _____

Tele. No.: _____ Mobile No.: _____ e-mail id: _____

I) Name of the Defaulter / Expelled Member

II) Clearing Number: _____

III) Nature of Complaint (Strike out whichever is not applicable)

(a) Non-receipt of delivery of shares / Non-receipt of sale proceeds of shares / Non-receipt of dividend / Non-receipt of Rights, Bonus shares

Name of the Company	No. of Shares/ Debentures	Claim Amount in Rs.	Date of Transactions
_____	_____	_____	_____

(b) Dispute relating to Non-settlement of accounts:

Total claim amount inclusive of non-receipt of delivery of shares.: Rs. _____

(c) Non-implementation of Arbitration Award (if any).

Arbitration Ref. No. _____ Date of Award _____ Awarded Amount _____

(d) Any other claim not covered above:

Total amount of claim in Rs.: _____

(Note: Ensure that the Total claim amount in Rupee terms is provided)

Brief description of the dispute (separate sheet may be attached, if required):

Yours faithfully,

(Signature of the Complainant)

Note: The claimant is advised to refer to the Norms for eligibility of claims for compensation from IPF to the clients of the defaulter member. The same is available on Exchange's website at the link – <https://www.bseindia.com/downloads1/Normseligibilityclaimsdefaultermember.pdf>

List of Enclosures Required

1. Detailed description of your claim
2. Copy of Account opening form/copy of KYC documents
3. Copy of Running Account authorization, if any
4. Copy of Power of attorney (POA) given to the stock broker
5. Copy of relevant contract notes
6. Statement of account (Ledger statement) maintained by the stock broker with respect to BSE and other stock exchanges
7. Bank Statements of the client in support of payment made to and received from Member
8. Demat Transaction Statement and Demat Holding Statement issued by depositories from inception of trading till date
9. Income Tax statements
10. Details of Claims filed with other stock exchanges and communications received from that Exchange
11. NSE Trade file
12. Details/communication from by other stock exchanges approving/rejecting claim
13. Copy of client's PAN
14. Any other document in support of your claim
15. If the claim is filed beyond the specified period of 90 days as stated in the public notice, the reason for delay in filing the same beyond the specified period and how filing of the claim within the specified period was beyond the control of the complainant should also be provided.

Where to submit claim –

The claimant can file their claim against Defaulter/Expelled Member:

- a. At the concerned regional Investor Service Centre of BSE Ltd. indicted on Exchange's website at the link –
https://www.bseindia.com/static/investors/cac_tm.aspx or
- b. Through Exchange's website under eComplaint Registration at the link -
<https://bseindia.com/ecomplaint/frmlInvestorHome.aspx> or
- c. Through email to claims.defaulter@bseindia.com or
- d. Through SCORES at the link - <https://www.scores.gov.in/scores/Welcome.html>