



Instructions for Submitting Application for seeking In-Principle Approval from BSE for Listing units of Alternative Investment Fund Schemes

Sr. No.	Instructions
1	Please mention Not Applicable accordingly
2	The application must be complete in all respect
3	BSE reserves the right to seek more information / documentation as deem fit.

List of documents/details to be submitted to BSE for seeking In-principle approval for listing of units of scheme under Alternative Investment Fund

Checklist

Sr. No.	List of Documents / details to be submitted on company letter-head	Yes/No/Not Applicable
	Certified True Copy of following Agreements/Documents:	
1	Certificate of Registration of AIF issued by Securities and Exchange Board of India (SEBI).	
2	Draft copy of Information Memorandum or Placement Memorandum	
3	Resolution passed by the issuer / trustees at their meeting approving listing of units of the Alternate Investment Scheme on the BSE	
4	Memorandum and Articles of Association of the issuer	
5	Trust Deed	
6	Custodian Agreement	
7	Investment Management Agreement	
8	R&T Agreement	
9	An undertaking from the CEO / Compliance officer that the AIF is in compliance with SEBI Regulations, 2012 as amended and all other applicable laws.	
10	Name, Designation and contact details of the company official	
	Note: In case any of the above documents have been submitted at the time of initial listing the same are to be resubmitted only in case of any amendments to the same.	
Date		
Place		

Sign of Authorised Signatory & Seal of the company	
Name and Designation of the official signing	